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# DASH 1.0 Conformance Program Policies and

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## **DASH 1.0 Conformance Program Policies and Procedures**

115	Abstract
116 117 118 119 120	This document describes the policies and procedures that participants of the DASH 1.0 Conformance Program must follow to obtain Certification. This document also includes the DASH 1.0 Conformance Program Application Agreement, which binds the participants of the Conformance Program to the provisions of these policies and procedures.

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## **DASH 1.0 Conformance Program Policies and Procedures**

#### 1 Overview

#### 1.1 Document purpose and scope

- 125 Desktop and mobile Architecture for System Hardware (DASH) is a manageability initiative of the
- 126 Distributed Management Task Force, Inc. (DMTF). The Initiative is described at
- 127 http://www.dmtf.org/standards/mgmt/dash. The technical requirements of DASH 1.0 are specified in
- 128 <u>DSP0232</u>, DASH Implementation Requirements 1.0. The System Management Forum (SMF), a forum
- 129 within the DMTF, has developed a DASH 1.0 Conformance Program (the Program).
- 130 The elements of the Program include a synchronized set of Implementation Requirements Specifications,
- 131 a Conformance Test Suite, a registry of Certified Test Results of products that have demonstrated
- 132 conformance to Specifications, and processes and documentation that support these elements.
- 133 This document describes the policies and procedures of the DASH 1.0 Conformance Program referenced
- by the DASH 1.0 Conformance Program Application Agreement. By executing the DASH 1.0
- 135 Conformance Program Application Agreement, participants in the Program agree to abide by the
- 136 provisions of these policies and procedures.

## 1.2 Program administration

- 138 The DASH 1.0 Conformance Program is operated by the DMTF. The DMTF contracts with an
- independent third party to manage the DASH 1.0 Conformance Program as Conformance Program
- 140 Administrator (Program Administrator). The Program Administrator is the Participant's DMTF contact for
- all applications, submissions, questions, correspondence, and general administration for the DASH 1.0
- 142 Conformance Program. The Program Administrator is responsible for maintaining applicant confidentiality
- in all phases of the Program as defined by these policies and procedures.

#### 1.3 Terms and definitions

Table 1 defines terms used in this document. Abbreviations are provided in parentheses.

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#### Table 1 - Terms and definitions

Term	Definition	
Agreement	This document when accepted and signed by the Applicant, the DMTF, and the DMTF Conformance Program Administrator	
Applicant	A Company applying for Conformance Test Result Certification under this Program	
Certification	The acknowledgment by the Conformance Program Administrator that a validate has been completed for a Conformance Test Result Submission, and that the Conformance Requirements, including the Application and applicable fees, have been met	
Certification Registry  The formal record of each DMTF Conformance Program and Certified Conformance Test Result Submission, maintained in electronic and document form by the DMTF and made publicly available at the DMTF Web site (www.dmtf.org)		

Term	Definition	
Certified Submission	A Submission for which the Program Administrator has validated, evaluated, and certified Conformance Test Results and issued written notice to the Applicant of successful Conformance Testing completion	
Conformance	The fulfillment of a product, process, or service of specified requirements	
Conformance Program (CP), or Program	The DMTF DASH 1.0 Conformance Program	
Conformance Program Deficiency (CPD)	An acknowledged error in the Conformance Program that inhibits the certification process. The Conformance Program includes both the certification process and information about how to begin conformance testing. A Conformance Program Deficiency is one possible outcome of an Issue Report.	
Conformance Requirements	A definition of the mandatory and optional behavior a Submission must implement in order to be considered conformant. The Conformance Requirements are included in <i>DASH Implementation Requirements 1.0</i> ( <u>DSP0232</u> ).	
Conformance Test Package	A Conformance Test Package is a binary image or archive file that contains executable tests and other files for configuring, running, and interpreting those tests. The Conformance Test Package contains the Conformance Test Suite.	
Conformance Test Result Submission	A Test Suite-generated file of Product Conformance Test results that the Applicant sends to the DMTF for Certification. It contains the Applicant's precise, detailed description of how and in what environment the Conformance Requirements were tested.	
Conformance Test Suite (CTS)	A tool licensed under the DMTF Conformance Program to the Applicant for use in testing a Product and generating a Submission	
DMTF	The Distributed Management Task Force, Inc., a not-for-profit corporation formed under I.R.C. Section 501(c)(6), and Oregon law	
Eligibility Requirements	The set of requirements that a company must meet in order to register and participate in the Conformance Program. Companies that meet the Eligibility Requirements are referred to as Eligible Participants.	
Fee Schedule	The "Schedule of Fees" contained in ANNEX D	
Interpretation (INT)	A decision made by the DMTF that elaborates or refines the meaning of a Conformance Requirement. An Interpretation is one possible outcome of an Issue Report.	
Issue Report (IR)	A formal written report that requests clarification of or questions the intent or correctness of a Conformance Requirement, the Conformance Test Suite, or the Conformance Program itself	
	A written question along with any supporting details and/or documents addressed to the Program Administrator requesting clarification, intent, or correctness of a Specification, a Test Suite, or the Conformance Program Policies and Procedures	
Participant	An Applicant that has been approved to participate in the Conformance Program	
Authorized Contacts	The person in the Participant company who is authorized by the company to make Program-related decisions for the company. The Program Administrator accepts Program-related information and decisions from these persons only.	
Product	Any desktop or mobile system sold by the Applicant that qualifies for Conformance Testing and Conformance Test Result Certification per the Conformance Program Policies and Procedures. Applicant's Products being tested pursuant to this Application Agreement are identified in ANNEX E, "Applicant Submission Information".	

Term	Definition	
Program Administrator (PA)	The DMTF-appointed entity for administering the conformance program as described in 1.2, "Program administration"	
Specification Authority (SA)	The party responsible for developing, maintaining, and interpreting the Specifications	
Submission	A Participant request for Certification of Conformance Test Results for a Tested Product and any other Products the Participant claims are conformant per the same Results	
Test Suite Authority (TSA)	The entity responsible for developing and maintaining the Conformance Test Suite and for confirming defects in the Conformance Test Suite reported as issues by Program Participants. For the DASH 1.0 Conformance Program, the TSA is comprised of Leadership level members of the System Management Forum.	

## 147 2 Policies

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#### 2.1 Conformance Test Package

- 149 Each Conformance Test Package distributed by the Conformance Program is labeled with a version
- 150 number. The term "Conformance Test Suite" used in the process descriptions that follow refers to the
- version of tests and test input files in a particular Conformance Test Package version.

## 2.2 Conformance Requirements

- 153 The Conformance Requirements are rules established and used by the DMTF to evaluate the results of
- 154 execution of the Conformance Test Suite (see 3.5, "Submission evaluation"). The Conformance
- Requirements include statements that relate the results of one or more CTS tests to a result of "pass" or
- 156 "fail" for a submission.
- 157 The following policies govern the Conformance Requirements under the Program:
  - Conformance tests of the Conformance Test Suite verify correctness for all mandatory clauses in the DASH 1.0 specifications, for all conditional clauses for which the condition is true, and for all optional clauses that are implemented by the Tested System.
  - Non-normative statements and clauses in profile specifications (for example, "Use Cases" clauses) are not tested.
  - Only requirements in the DASH 1.0 specifications are tested, and no additional requirements are imposed.
  - The testing is restricted to interactions through the Management Access Point (or MAP).
    - Functional testing is not performed (for example, values reported by the MAP, such as temperature or RPM, are not tested for correctness on the Tested System).
    - Only testable functionality through the MAP is tested. For instance, behavior in case of errors or failure is not tested if errors or failure cannot be provoked through the MAP.
  - A copy of the Conformance Requirements is included in the Conformance Test Package.
  - All submissions of results from a versioned Conformance Test Package, as described in 2.1, are evaluated using the Conformance Requirements that are distributed with that Conformance Test Package.
  - The SMF may periodically update the Conformance Requirements and create a new Conformance Test Package (with a new version number), as described in clause 7, "DASH 1.0 Conformance Program updates".

- 177 The DASH 1.0 Conformance Test Suite is the sole conformance test authorized by the DMTF and is
- modified only if required as a result of the DMTF Conformance Program Appeals process. The latest
- 179 DASH 1.0 Conformance Requirements are maintained as an Annex in this policies and procedures
- 180 document (see ANNEX A).

#### 2.3 Eligible participants

- Any company that wants to participate in the DASH 1.0 Conformance Program under the terms stated in
- the DASH 1.0 Conformance Program Application Agreement is welcomed to participate. Program Fees
- are levied based on the company's membership status in DMTF and its System Management Forum
- 185 (SMF). Companies not currently members of DMTF and companies that are currently DMTF members but
- not members of the SMF pay Application and Per-Product fees as described in the Fee Schedule
- 187 (ANNEX D). DMTF SMF members have all or part of their fees included in SMF membership dues. For
- 188 fees not included in DMTF SMF membership dues, see the Fee Schedule (ANNEX D).

## 189 2.4 Scope of Conformance Test Results certification

- 190 The object of the DASH 1.0 Conformance Test Suite is a Tested System. Conformance Test Results for a
- 191 Tested System are associated by the Vendor to a Vendor's Product.
- 192 Certification is granted only for test results of "provider" or "server-side" implementations that is,
- implementations that are managed through a Manageability Access Point (MAP). Conformance testing
- and certification of test results is not currently performed on "client-side" implementations (for example,
- management consoles). Certification of test results is not granted on an individual profile basis.

## 196 2.4.1 Specification versions

- 197 DASH 1.0 Certification is obtained for Conformance Test Results of the DASH 1.0 Conformance Test
- 198 Suite for a Tested System. This Certification of test results applies only to the version of the DASH
- 199 Implementation Specification tested (DASH 1.0) and does not extend to other versions of the DASH
- 200 specification.

#### 201 **2.4.2 Product**

- The Tested System may be a Vendor's desktop, mobile, or other system Product, or may contain the
- 203 Vendor's Product as a component. Conformance Test Suite results describe the performance of the
- Tested System; therefore, the information that the Vendor includes in the Submission must contain
- sufficient information for customers of the Product to understand the configuration of the Tested System.

#### 206 2.4.3 Product Family

- The DASH 1.0 Conformance Program allows the Vendor to relate Conformance Test Results for a Tested
- 208 System to one or more Products or Product Families. By including additional Products or Product
- Families in the Conformance Test Results file, the Vendor claims that the identified Conformance Test
- 210 Results obtained for the Tested System apply to all Products and the Product Families listed in the
- 211 Submission. The validity of the application of the Conformance Test Results of the Tested System to the
- 212 Vendor's Products is the responsibility of the Vendor.

#### 213 2.4.4 Product versions

- 214 The DASH 1.0 Conformance Program allows the Vendor to update published Certifications of test results
- 215 when there are changes in the related Product or Product Family version. By modifying the version
- 216 designation for a Vendor's Product or a Product Family in the Certification Registry, the Vendor claims
- 217 that the results obtained for the Tested System apply to the new version of the Product or the Product
- 218 Family listed in the Certification Registry entry. The validity of the application of the Conformance Test

219	Results of the Tested System to the new versions of the Vendor's Products is the responsibility of the
220	Vendor.

#### 3 **Procedures** 221

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## 3.1 Registering for the Program

- 223 To participate in the DASH 1.0 Conformance Program, a company registers its interest to participate in
- the Program by submitting an Application Agreement. Each company submits only one Agreement for the 224
- 225 DASH 1.0 Conformance Program. Then, as long as the company remains qualified to participate, the
- 226 company may submit test results and claims for its products using the Program Policies and Procedures.
- 227 To apply (register) to participate in the DASH 1.0 Conformance Program, a signed Application Agreement
- 228 must be mailed or faxed to the Program Administrator of System Management Forum, using the address
- 229 or fax number listed in the Application Agreement.
- 230 The Program Administrator performs the following tasks:
  - Review the request to make sure it includes the required information.
- 232 2) Record the Application in a list of Applications received.
- 233 Send the Conformance Test Package (including information and tools needed to complete the testing process) to the Participant, including: 234
  - The Conformance Program Policies and Procedures
  - The Conformance Test Suite
    - The Conformance Requirements that the SMF uses for evaluating the results
  - Instructions for running the tests and submitting results
    - Instructions for submitting questions and appealing results
    - If the Participant completes the Testing Process and the Submission Process as described in this document, following the instructions included in the Conformance Test Package, the Program Administrator records successful demonstration of compliance.

#### 3.1.1 Company Authorized Contacts

244 The Participant is allowed to name up to two Authorized Contacts for the Program. Authorized Contacts 245 are authorized by the participating company to make program-related decisions for the company, such as 246 submit test results, submit Submission Forms, raise program Issues, and give publication authorizations.

248 The Program Administrator may reject any company request that is in conflict with a request or 249

submission made previously by another Company Authorized Contact until the Program Administrator is

satisfied that all Authorized Contacts are in agreement with the request content. 250

## 3.2 Obtaining the DASH 1.0 Conformance Test Suite

- 252 The DASH 1.0 Conformance Test Suite may be downloaded from the Conformance Program Web site
- (www.dmtf.org). The DASH 1.0 CTS is available for limited use by participating and non-participating 253
- 254 companies; however, only companies who are registered as Program Participants may publish results.
- 255 Refer to the "Terms of Use" on the download page.

## 256 **3.3 Testing**

- 257 The Participant runs the Conformance Test Suite, according to the instructions provided, on a Product,
- and then follows the Submission Process.
- 259 Instructions for running the tests are included in the Conformance Test Package and may vary from
- version to version. The Participant must return test results without modifying any of the information
- generated by the test. If the Participant finds problems with the test and wants to contest the results, the
- 262 Participant must follow the *Appeals Process* (see clause 5).
- 263 After executing the test, the Participant determines whether to complete the Submission Procedures (see
- 264 clause 3.4).

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#### 3.4 Submission procedures

## 3.4.1 Submitting Conformance Test Results for a Tested Product

- The Participant collects information created by the test (according to the instructions provided) and sends
- the information to the Program Administrator using a copy of the Submission Form included in the
- 269 Application Agreement (see ANNEX E). The Participant's completed Submission Form contains required
- 270 information about the Product.
- The Program Administrator validates the test results file, checks the digital signature applied by the test
- 272 suite, and evaluates the results file content according to the Program Conformance Requirements. If all
- 273 Submission Evaluation criteria are met, the Program Administrator assigns a Certification Identifier to the
- 274 Conformance Test Results, archives the results file, and sends a Test Result Certification Notice that
- includes the Certification Identifier to the Participant.
- 276 At the time of Submission, the Participant must include a Qualification that describes the Product's
- 277 management feature that is required for the Tested System to meet the Conformance Requirements.
- Also, at the time of Submission, the Participant may claim that the Conformance Test Results apply to
- another Product offered by the Participant that also requires the same management feature described in
- 280 the Qualification.

#### 281 3.4.2 Submitting "Qualified Product" claims

- The Participant may submit additional claims for "Qualified Products" for previously submitted and
- 283 certified Conformance Test Results. Any Product that shares the same Qualification and is warranted by
- the Participant to meet the Conformance Requirements may be added to an existing Test Result
- 285 Certification by submitting a new Submission Form and including the Certification Identifier of the
- 286 applicable Conformance Test Results.

#### 3.5 Submission evaluation

- 288 The Program Administrator reviews the information returned and determines whether the information
- 289 indicates that the Participant has demonstrated that the Tested Product is conformant. The criteria for this
- 290 determination are the Conformance Requirements, which are sent to the Participant as a result of
- 291 Registration.

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- 292 The Program Administrator sends a notification to the Participant describing the results of the review and
- 293 stating the SMF determination as to whether the Tested Product demonstrates conformance.
- 294 If the Program Administrator determines that the Product demonstrates conformance, the Program
- 295 Administrator completes the <u>Conformance Publication Process</u> (see clause 3.6), unless the Participant
- requested no conformance publication in the Application Agreement.

The Participant reviews the determination. If the Participant believes that the determination is incorrect, the Participant completes the *Appeals Process* (see clause 5).

#### 3.6 Conformance Publication process

The Program Administrator performs the steps of the Publication process. The Program Administrator informs the SMF chairs about Submissions for Products that demonstrate conformance.

#### 302 3.6.1 Publishing Conformance Test Result Certifications

- 303 In addition to test results data, the Conformance Test Results file contains vendor-supplied product data
- 304 that describe the Tested System, the Product, and other data that relate the results to other vendor
- information, such as the Product Family and vendor contact information.
- 306 Because all of the product data is vendor-supplied, the vendor is responsible for the accuracy and validity 307 of the data.
- When the Conformance Test Results file is received as part of a Submission, validated, and certified by
- the Program Administrator, a record is created in the Conformance Program's Certification Registry
- 310 located on a DMTF server.

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- 311 Publication (creation of a DMTF Certification Registry entry) is done only after receipt of any applicable
- 312 Conformance Program Application and/or Submission fees.
- 313 Published Certification Registry entries may be removed from publication at any time (see 3.6.2).

#### 314 3.6.1.1 Certification Registry contents

- 315 For each submitted Conformance Test Results file, a Submission entry is created. The Submission is
- identified by an assigned, unique Submission identifier.
- 317 One Certification Record is created for each Product for which a Conformance Test Result file applies. A
- 318 Certification Identifier is assigned to each Certification Record. For Products that are Tested Products
- 319 (that is, a submitted Conformance Test Result file was generated from testing of the Product), the entry is
- 320 noted as a "Tested Product" entry. Any Product associated with a Conformance Test Result by the
- 321 Vendor is noted as a "Qualified Product" and is referred to as a "Qualified Product" entry.
- The Certification Registry contents are described in Table 2 and Table 3.

#### Table 2 – Certification Registry Entry: Conformance Test Result Submission

Field (All values are strings)	Comments
Submission Identifier	Assigned by the Program Administrator
Vendor information (Vendor-supplied)	None
Vendor Name	None
Tested Product data (Vendor-supplied)	None
Product	None
Product Type:	Describes the Product:
[ System   Component ]	<b>System</b> indicates that the Product is a stand-alone, integrated system.
	<b>Component</b> indicates that the Product provides MAP functionality in the Tested System.

Field (All values are strings)	Comments
Name	None
Model number	None
Version	None
Other Model info (vendor-supplied)	None
Qualification	Describes the Vendor-defined management feature required that allows the Product to meet the Conformance Requirements
Product Family	None
Identification / Name / Designation for the Product Family	None
List of qualified members of the Product Family	None
Name	None
Model number	None
Model Version	None
Tested System Configuration	None
Managed System Type: [ System   Simulation   Proxy   Other ]	Describes the Tested System:  System – Stand-alone, integrated system  Simulation – MAP is managing a simulated system  Proxy – MAP resides on a physically separate system  Other – Vendor-supplied string (next field)
Other: <string></string>	None
Hardware environment (Systems, Cards, Features/Options, etc.)	None
HW Component 1:	None
HW Component 2:	None
HW Component N:	None
Software environment (Operating Systems, Drivers, Firmware, Simulators, etc.)	None
SW Component 1:	None
SW Component 2:	None
SW Component N:	None
Other components	None
<strings></strings>	None
CTS Result Data (CTS-generated)	None
CTS summary result (Pass or Fail)	None
Profile summary result for each Profile discovered and tested	None
Timestamp of test	None

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#### Table 3 – Certification Registry Entry: Certification Record

Field (All values are strings)	Comments
Certification Identifier	None
Certification Type (Tested Product or Applied Results)	Indicates whether this record describes a Product that has submitted results or a Product that was associated by applying results based on the Qualification
Submission Identifier	None
Certification Identifier	None
Vendor information (Vendor-supplied)	None
Vendor Name	None
Product data (Vendor-supplied)	None
Product	None
Product Type: [ System   Component ]	Describes the Product:  System indicates that the Product is a stand-alone, integrated system.  Component indicates that the Product provides MAP functionality in the Tested System.
Name	None
Model number	None
Version	None
Other Model info (vendor-defined)	None
Product Family	None
Identification / Name / Designation for the Product Family	None

## 3.6.2 Deleting Conformance Test Result Certification publications

For the DASH 1.0 Conformance Program, Participants may remove a Product's listing from the DMTF
Web pages that publish certified test results by contacting the Program Administrator by email to request

the removal. (The Program Administrator's email address is included in the DASH 1.0 Conformance

329 Program Policy and Procedures returned to the Applicant at Registration.) Only the Participant's

Authorized Contact is allowed to request removal of published certified results.

#### 3.7 Public Conformance Claims

Participants are prohibited from making any Public Conformance Claims until they have been contacted

by the Conformance Program Administrator that their submission(s) have been validated, certified, and

published on the DMTF Certification Registry. Once Participants have been contacted by the

Conformance Program Administrator that their submission(s) has been validated, certified, and appear on

the DMTF Certification Registry, they may make Public Conformance Claims related to the applicable

conformance program and/or test suite(s). Participants are strongly encouraged to reference the DMTF

338 Certification Registry as part of their Public Conformance Claim.

#### **Conformance Program Naming Conventions**

#### DASH 1.0 Conformance Program

341	DASH 1.0 Conformance Test Suite
342	Conformance Claim Examples
343	"Product X meets the requirements of the DASH 1.0 Conformance Program."
344	"Product X is certified under the DASH 1.0 Conformance Program."
345	"Product X conforms to the DASH 1.0 Conformance Test Suite."
346	4 Issue reporting process
347	4.1 Overview
348 349 350 351	At any point during the Program, a Participant may encounter an Issue that inhibits the test result certification effort. The Participant may file an Issue Report by email to the Program Administrator to obtain resolution of the issue. The Program Administrator is the sole contact with the Participant for issue reporting, though others will be involved in determining the resolution.
352	The types of issues that may be reported include:
353 354	<ul> <li>Potential errors or ambiguities in the Conformance Requirements or the underlying standards referenced by the DASH 1.0 Conformance Requirement specifications</li> </ul>
355 356	<ul> <li>Potential deficiencies in the Conformance Test Suites used to assess Conformance Requirements or other test suites referenced by the Program (if any)</li> </ul>
357 358	<ul> <li>Possible process errors in the Program, specifically related to the registration process, agreements, and general Program issues</li> </ul>
359 360 361	The Issue Report is used specifically for the preceding types of errors that are inhibiting the test result certification effort. For general questions about the test result certification process, running the test suites or other issues, the Program Administrator will provide assistance on obtaining further information.
362	4.2 Issue Report Resolution Process
363 364 365	The Program Administrator is responsible for reviewing and providing a resolution to all Issue Reports. The key element of the review process is a deterministic process for a formal resolution to the Issue Report.
366 367 368 369	A preliminary review is performed by the Program Administrator, and an initial response is provided to the Participant within 10 business days of the Issue Report submission. This review allows simple issues to be dealt with expeditiously. A detailed review is undertaken for issues that are more complicated or when the preliminary review does not resolve the issue. Final resolution is provided as soon as possible.
370 371 372 373	In most cases, 20 business days is sufficient to provide a final Issue Report. However, in exceptional circumstances, final resolution may take longer. If possible, the Issue Report is addressed sufficiently within the 20 business days to allow the test result certification process to proceed pending final resolution.
374	If the Participant is not satisfied with the final resolution, the Participant may invoke the Appeals Process.
375 376 377 378 379 380	The Issue Report resolution process allows the Participant to remain anonymous, so pre-certification activity is kept entirely confidential, that is, between the Participant and the Program Administrator. The anonymous review process requires the Program Administrator to filter requests before distribution. Filtering removes as much identifying information as possible from the Submission, including the identifie and the Participant company information. However, the Conformance Test Results may contain content that uniquely identifies the Participant. If the Participant wants to maintain the confidentiality of that

- 381 information, the Participant must supply new Conformance Test Results, removing the confidential
- information while maintaining the same functionality of the original submission-identifying strings or other
- 383 confidential information. If Participant-specific information is included in the clauses reserved for the
- technical descriptions, filtering will not produce an anonymous request.
- In order for the Program Administrator to resolve Issue Reports for issues related to the test suites, an
- 386 opinion from the Test Suite Authority is required. Likewise, for Issue Reports related to the Conformance
- 387 Program, the Program Administrator requires an opinion from the Program Sponsor.

## 4.3 Resolution of Issue Reports

- The possible outcomes for Issue Report resolution are as follows:
- Accepted as an error or ambiguity in the specifications (requiring an Interpretation or INT)
- Accepted as an error in a test suite (a CTS Deficiency)
  - Accepted as an error in the Conformance Program (CP Deficiency)
- 393 Rejected

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- 394 Interpretations, CTS Deficiencies, and CP Deficiencies never cause previously Certified Test Result
- 395 Submissions to be "uncertified". Interpretations, CTS Deficiencies, and CP Deficiencies can cause the
- 396 definition of Conformance to evolve over time. Subsequent Participant Submissions are always required
- 397 to conform to the current Conformance Requirements.

#### 4.3.1 Interpretations

- 399 An Interpretation elaborates or refines the meaning of a Specification, thereby clarifying an error or
- ambiguity in the DMTF Specification. Interpretations apply to a specific version of a Specification and are
- 401 permanent against that version. They remain in force until the Specification is updated, at which time the
- 402 elaboration or refined meaning should be incorporated into the updated version of the Specification.
- Interpretations of Specifications never result in behavior that was previously considered to be conformant
- 404 being declared non-conformant at a given revision level of the Specification. However, Interpretations
- 405 may cause a change in a future release of a Specification that will prohibit behavior previously considered
- 406 acceptable. Interpretations can only result in behavior that was previously considered to be non-
- 407 conformant being declared to be conformant.
- 408 The DMTF is responsible for deciding the meaning of conformance to normative referenced
- 409 Specifications in the DASH 1.0 Conformance Program.

#### 410 4.3.2 Conformance Test Suite Deficiency

- 411 A Conformance Test Suite Deficiency is an acknowledged error in a test suite. A Conformance Test Suite
- 412 Deficiency applies to a specific version of a test suite and is permanent against that version. The
- 413 Deficiency remains in force until the test suite is updated, at which time the error should be fixed in the
- 414 updated test suite. If the Test Suite Authority decides not to fix a Conformance Test Suite Deficiency in
- 415 the updated test suite, the Test Suite Authority submits a new Issue Report and assigns it as a
- 416 Conformance Test Suite Deficiency against the new test suite version, flagging the Conformance Test
- 417 Suite Deficiency as a re-issue.
- 418 In all circumstances, the complete test suite or set of test suites must be run during formal testing. The
- 419 existence of any Conformance Test Suite Deficiency with respect to a test suite does not absolve a
- 420 Participant from running the test in question, or any part thereof.

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## 421 4.3.2.1 Provisional Test Result Certification due to CTS Deficiency

- 422 After the Test Suite Authority has acknowledged a Deficiency, the Participant may request a Waiver for
- 423 the CTS test item that is failing due to the Deficiency. If the Waiver removes the last test failure preventing
- 424 certification of the Test Results, the DMTF grants a Provisional Certification for the Test Results pending
- 425 update of the test suite. The Participant's Submission may be published on the Conformance Program
- 426 Web site with an indication that the Test Result Certification is Provisional. The Provisional Test Result
- 427 Certification remains in effect until an updated Test Suite is available that contains the correction. At that
- 428 time, the Participant has 30 business days in which to re-test with the updated Test Suite and submit new
- 429 test results for certification. If the Participant does not submit new test results within 30 business days
- 430 following test suite availability, the Provisional Test Result Certification is rescinded by the DMTF and the
- 431 Tested Product is removed from the Program Web site.

## 4.3.3 Conformance Program Deficiency

- 433 A Conformance Program Deficiency is an acknowledged error in the Conformance Program. The
- 434 Conformance Program includes the workflow and information systems provided to test Submissions.
- 435 Conformance Program Deficiencies apply to the version of the Conformance Program in which they are
- found. If the issue is blocking the test result certification effort, a patch is made to the Conformance
- 437 Program to enable certification to proceed. Otherwise, the issue is fixed in a future update of the
- 438 Conformance Program and the Conformance Program Deficiency remains in force until such an update.

## 439 4.3.3.1 Provisional Test Result Certification due to CP Deficiency

- 440 After the Test Suite Authority has acknowledged a Deficiency, the Participant may request a Waiver
- 441 pending resolution of the Deficiency. The DMTF grants a Provisional Test Result Certification for the Test
- Results pending resolution of the Deficiency. The Participant's Product may be published on the
- 443 Conformance Program Web site with an indication that the Test Result Certification is Provisional. The
- 444 Provisional Test Result Certification remains in effect until the Deficiency is resolved. At that time, the
- Participant has 30 business days in which to resubmit test results for processing using the revised
- 446 Program process. If the Participant has not resubmitted Test Results within 30 business days after
- 447 resolution, the Provisional Test Result Certification is rescinded by the DMTF and the Submission is
- removed from the Program Web site.

#### 4.4 Issue Report repository

- 450 The Program Administrator maintains an SMF Member-only Web-accessible repository of all submitted
- 451 Issue Reports. This repository is accessible by all DMTF SMF members. The viewable information
- 452 contains technical details, such as the nature of the issue and its current status of resolution, but does not
- 453 contain clauses reserved for Participant and Submission details, thus maintaining the Participant's
- 454 confidentiality.

## 5 Appeals process

- Participants may appeal decisions made by the DMTF or the Program Administrator. The occasions that may give rise to an appeal include, but are not limited to, the following:
  - The Participant disagrees with the resolution of an Issue Report.
- The Participant disagrees with the Program Administrator's grounds for denying the award of certification to test results.

The two levels of appeal are a Technical Review and a Board Review. Review decisions are made in accordance with DMTF policies. At each level of appeal, the Participant has the right to representation at the review meeting to make the technical case but is not required to have representation. The appeals process is anonymous if the Participant does not want to be represented at the review meetings. In such

- cases, the Program Administrator removes the details of the Participant and the Submission from all information provided for the Technical and Board reviews.
- 467 A Participant wanting to dispute a DMTF or Program Administrator decision may request a Technical
- 468 Review. Technical Reviews require the DMTF Technical Committee to consider the matter and produce a
- 469 response with a recorded vote according to DMTF voting rules. The Technical Committee may
- 470 commission reports from independent experts and may seek input from other committees within DMTF as
- 471 it sees fit.
- 472 If the Participant is not satisfied with the outcome of the Technical Review, the Participant may request an
- 473 appeal to the DMTF Board of Directors within 14 business days of being notified in writing by the Program
- 474 Administrator of the results of the Technical Review. The DMTF's Board of Directors may ask for
- 475 technical reports from the relevant working groups and may also ask for reports from independent
- 476 experts. The Board Review is completed as soon as possible after the Board is informed by the Program
- 477 Administrator of the Participant's written request for a Board Review. The results of a Board Review are
- 478 final and cannot be further appealed.
- 479 Appeal requests are made to the Program Administrator. After a formal written appeal has been
- submitted, the Program Administrator evaluates the appeal fairly and in a non-discriminatory manner. The
- 481 Program Administrator may request additional information or tests from the Participant that are deemed
- 482 necessary and advisable in order to resolve the issue. The Program Administrator communicates the
- 483 decision of the Appeal to the Participant.
- 484 Participants should be aware that while the DMTF makes every effort to act on a timely basis, it may take
- several weeks before an appeals decision is reached. In most cases, 60 business days is sufficient to
- 486 provide a final appeal decision. However, in exceptional circumstances, final resolution may take longer.

## 487 6 Confidentiality

- 488 All information relating to a Participant and the Submissions to be certified are treated as confidential
- 489 information by the Program Administrator during the certification process (that is, prior to the award of
- 490 certification). This includes information related to the Registration Form, Conformance Statements, Issue
- 491 Reports, Fees, and so on.
- 492 Test results are always confidential. Information regarding the results of using the test suites shall not be
- 493 disclosed in any publicly available document or to any third party by the Program Administrator, the
- 494 Participant, or any party acting on the Participant's behalf.
- 495 In addition, the Program Administrator always holds confidential any information regarding unsuccessful
- 496 applications for certification.

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#### 6.1 Disclosure of Certification Information

- 498 Certification Information consists of the fact that certification was achieved and the description of the
- 499 Certified Submission. Any claims of conformance or information related to the certification process may
- 500 be made public only after the Program Administrator has notified the Participant in writing through email
- that the Submission has passed the Conformance Requirements.
- 502 The Program Administrator makes test result certification information publicly available by including it in
- the Certification Register available on the DMTF's Web site.

#### 6.2 Optional Confidential Treatment of Certification Information

- 505 On occasion, a Participant applies for and achieves test result certification prior to the launch of the tested
- 506 product in the marketplace. To enable a Participant to keep such information confidential prior to launch,
- 507 the Participant may request that the test result certification information be kept confidential for a maximum

#### **DSP5001**

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#### **DASH 1.0 Conformance Program Policies and Procedures**

- period of six months from the date of written notification by the Program Administrator that the Test Result
   Submission has passed the Conformance Requirements.
   During this period, the Participant may not make any representation of conformance with the
   Conformance Program without first informing the Program Administrator that the confidential period has
- expired. If the Participant wants to keep the test result certification information for a Submission
- confidential permanently, the Participant may request withdrawal and deletion of such information. Such a
- 514 Submission is then no longer considered a Certified Submission.
- When the six-month confidential period expires, the Participant must notify the Program Administrator that
- the test result certification information that is posted on the DMTF Web site should be made public;
- 517 otherwise, the Submission ceases to be considered a Certified Submission.

## 7 DASH 1.0 Conformance Program updates

- The Conformance Test Package (described in clause 2) may change over time. Some events that may make a change necessary are as follows:
- A change in Conformance Requirements
- Addition, deletion, or modification of tests
- Changes in test software
- Changes in Eligibility Requirements

#### ANNEX A 525 **DASH 1.0 Conformance Requirements** 526 A Tested System that meets the following requirements shall be deemed "Conformant" by the System 527 Management Forum and the DMTF: 528 The Tested System shall implement all CIM profiles defined as Mandatory by DASH 529 Implementation Requirements 1.0 (DSP0232). 530 531 The Tested System may implement Conditional and Optional profiles from DASH 532 Implementation Requirements 1.0 (DSP0232). 533 For each Profile implemented by the Tested System, the Tested System shall meet the requirements of the corresponding Profile test of the Conformance Test Suite as follows: 534 535 The Tested System must pass all tests for Mandatory requirements. 536 The Tested System must pass all tests for any Optional requirements that are implemented 537 by the Tested System. 538 The Tested System must pass all tests for any Conditional requirements that are 539 implemented by the Tested System and for which the Tested System exhibits the 540 Conditions. 541 For any Profile that allows zero central instances, the Tested System may implement zero 542 instances. 543 Protocol interactions during the Profile test must be conformant as defined and used by the 544 Profile tests. 545 The DASH 1.0 Conformance Test Suite reports Conformance Test Results as follows: 546 The DASH 1.0 Conformance Test Suite reports conformance test results at three levels: individual test results, per-Profile summary results, and a CTS summary result. 547 The per-Profile summary result shall be "Info" when no Registered Profile is found for the Profile. 548 549 The per-Profile summary result shall be "Pass" when there is no applicable individual test result of "Fail" (that is, when all individual test results for the Profile are "Pass", "Info", or "Warning"). 550 551 The Tested System shall receive a CTS summary result of "Pass" when there are no per-Profile 552 summary results of "Fail" (that is, when all per-Profile summary results are "Pass", "Info", or 553 "Warning") and there is no summary result of "Fail" for each of the test sections "Discovery", "Transport", "WS-Event", and "WS-Protocol". 554 The submitted DASH 1.0 Conformance Test Results for a Tested System that meet the following 555 requirements shall be deemed "Certified" by the System Management Forum and the DMTF: 556 557 The certification of Conformance Test Results is performed solely on the basis of the Conformance Test Results folder that results from a test run on a single Tested System and is 558 submitted by an Eligible Participant. 559 560 The Conformance Test Results folder must be obtained by execution of the DASH 1.0 Conformance Test Suite and it must contain a Conformance Test Results file with a valid digital 561 signature (certificate.xml) and tar file of the results placed there by the Conformance Test Suite 562 The Conformance Test Results file must not indicate a failure. TestsFailed field of the Test 563 564 Summary section of Conformance Test Results must have a value of 0 565 The submission will be considered valid only if the Conformance Test Results (certificate.xml) 566 were generated after the released date/time of the authorized DASH 1.0 Conformance Test 567 Suite.

#### **DSP5001**

Conformance Test Suite by the participant.

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#### **DASH 1.0 Conformance Program Policies and Procedures**

The submission will be considered valid only when the Conformance Test Results file containing a valid digital signature (certificate.xml) contains valid description of the qualified product.
 If the Conformance Test Results file (certification.xml) does not meet the requirements above, the Program Administrator can request a re-submission, which will likely require a re-execution of the

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# ANNEX B DASH 1.0 Conformance Test Suite Scope

DASH Implementation Requirements 1.0 (DSP0232 Version 1.0.1) identifies the specific DMTF specifications and their revisions that are included in the scope of the Conformance Test Suite (CTS). Per DMTF policy, specification references are always given to the level of "major" and "minor" revisions (for example, "1.0" or "1.2"). When specifications are revised to note Errata, a third place in the revision designation is used, as in "1.0.1" or "1.0.3." Errata editions do not define new requirements for conformance. While DSP0232 defines the scope of the CTS, there is no guarantee that the current released CTS will cover all of the stated requirements and future revisions of the CTS may include tests which were not included in earlier releases.

Test coverage of infrastructure and protocol specification included in the scope of DSP0232 is designed to cover the use of the infrastructure and protocol to access the functionalities defined by DSP0232 and Test Suite is not designed as a full evaluation of evaluation of implementation of the CIM infrastructure or protocol specifications.

The Conformance Requirements for DASH 1.0 are also specified to the level of "major" and "minor" revision; however, at the time of publication, the DASH 1.0 Conformance Test Suite has been designed and validated according to specific versions of the specifications. For Participant information, Table B-1 and Table B-2 provide the versions of the DASH 1.0 specifications to which the DASH 1.0 CTS has been designed.

Table B-1 - DASH 1.0 Mandatory Profiles and Specifications

Name	Number	Version
Base Desktop and Mobile Profile	DSP1058	1.0.0
WS-Management Specification	DSP0226	1.0.0
WS-Management — CIM Binding Specification	DSP0227	1.0.0
WS-CIM Mapping Specification	DSP0230	1.0.0
Role Based Authorization Profile	DSP1039	1.0.0
Simple Identity Management Profile	DSP1034	1.0.1
Profile Registration Profile	DSP1033	1.0.0
Computer System Profile	DSP1052	1.0.0

Table B-2 - DASH 1.0 Optional Profiles

Name	Number	Version
Boot Control Profile	DSP1012	1.0.1
CPU Profile	DSP1022	1.0.0
Fan Profile	DSP1013	1.0.1
Indications Profile	DSP1054	1.0.0
Physical Asset Profile	DSP1011	1.0.2
Power State Management Profile	DSP1027	1.0.1
Power Supply Profile	DSP1015	1.1.0
Sensors Profile	DSP1009	1.0.1

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## **DASH 1.0 Conformance Program Policies and Procedures**

Name	Number	Version
Software Inventory Profile	DSP1023	1.0.1
System Memory Profile	DSP1026	1.0.0

595 596	ANNEX C DASH 1.0 Conformance Program Application Agreement
597 598	Distributed Management Task Force, Inc. (DMTF) DASH 1.0 Conformance Program Application Agreement
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600	Applicant Name
601	Address
602	City, State ZIP
603	Country Province Post Code
604	Authorized Contact Signature
605	Authorized Contact Printed Name
606 607 608	The Applicant named above (an Authorized Contact of the company representing the company participation in the program) applies to the DMTF for use of the DMTF Conformance Program, on the terms set forth, for the Products identified herein.
609 610	For purposes of this Agreement, the terms used herein shall have the meanings documented in clause 1.3, "Terms and definitions," of the DASH 1.0 Conformance Program Policies and Procedures.
611	C.1 Applicant's Obligations
612 613	In addition to the Application-related information provided as part of the Application process, the Applicant will make best efforts to answer all reasonable additional questions the Program Administrator may raise.
614	C.1.1 Application Process
615 616	The Applicant must complete and sign both the first and last page of this Application Agreement and include payment to the DMTF of any applicable fees as provided below.
617 618	Program Application Agreements and Submission Forms may be sent to the Program Administrator in any of the following ways:
619 620 621 622 623	<ul> <li>By mail to the following address:</li> <li>Distributed Management Task Force, Inc.</li> <li>Attn. DMTF DASH 1.0-Program Administrator</li> <li>1001 SW 5th Avenue, 1100</li> <li>Portland, OR 97204 USA</li> </ul>
624	- By fax to 503.296.2432
625	<ul> <li>Scanned into PDF and sent by email to <u>dash 1.0 cpadmin@dmtf.org</u></li> </ul>
626	The Participant should retain copies of all Conformance Program forms and correspondence.
627	C.1.2 Testing
628 629 630	The Applicant must complete formal Product testing using a current and authorized version of the Test Suites. The Program Administrator will maintain a list of the current versions of each Test Suite for use in this Program and on the DMTF Web site.

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#### 631 C.1.3 Conformance

- For Conformance Test Results to be validated, the Applicant must submit the Conformance Test Suite
- results for formal review to the Program Administrator for the particular version of the Conformance Test
- Suite for which the Applicant is requesting Conformance Test Results Certification. The Applicant shall
- 635 comply with the Program Administrator's reasonable requests for clarification or rework regarding the
- completeness, correctness, or consistency of the information provided.

## C.1.4 Warranty of Conformance Test Result Certification

- The Applicant warrants and represents that the Products identified in ANNEX E, Applicant Submission
- 639 Information, meet the Conformance Requirements at the time of Conformance Test Result Certification
- and at all times thereafter in which the Products are stated, advertised, or otherwise claimed in any way
- by the Applicant to be conformant under this Program.
- If the Applicant fails to maintain and ensure continued Product compliance with the Conformance
- 643 Requirements for this Program, the Program Administrator may revoke the Conformance Test Result
- 644 Certification, including all related marketing benefits including but not limited to DMTF Web site listings.
- For avoidance of doubt, any demonstrable shortfall with respect to the Conformance Requirements shall
- be absolute grounds for the immediate withdrawal of Conformance Test Result Certification, whether or
- not that shortfall is apparent from the Conformance Test Result Certification and testing process itself.
- The Program Administrator shall provide written notice to the Applicant on evidence of Product non-
- conformance and grant a reasonable time, not to exceed five business days, for Applicant to respond and
- remediate such failure. If Product compliance is not achieved during this time, the Program Administrator
- shall revoke the Conformance Test Result Certification.

#### 652 **C.1.5 Fees**

- 653 Publication (creation of a DMTF Certification Registry entry) is done only after receipt of any applicable
- 654 Conformance Program Application and/or Submission Fees. The Fee Schedule for the DASH 1.0
- 655 Conformance Program is listed in ANNEX D.

## 656 C.2 Conformance Program Administrator's Obligations

#### 657 C.2.1 Submission Management

- 658 The Program Administrator will audit and review all Program-related information provided by the
- Applicant, including the Application and Submission, to determine whether the submitted information
- demonstrates that the Submission meets the applicable Conformance Requirements.
- The Program Administrator will notify the Applicant by email of the result within six business days of receipt of a complete Submission, as follows:
  - If the reviewed results indicate that the Conformance Requirements have been met, the
    Program Administrator will notify the Applicant by sending a Notice of Conformance by email to
    the email address of the Technical Contact provided in this Agreement. If Applicant has granted
    permission in the signature section of this Application, the Program Administrator will include
    the Submission into the Certification Registry.
  - If the reviewed results indicate that the Conformance Requirements have not been met, the Program Administrator will reject the Submission and report the findings for such rejection to the Applicant's Technical Contact by email.

#### C.2.2 Anonymity of Appeals

The Applicant may appeal a decision made or issued at any point in the Program by notifying the Program Administrator in writing of its desire to invoke the appeals process as defined in the *DASH 1.0 Conformance Program Policies and Procedures*. The Program Administrator will facilitate an anonymous review on behalf of the Applicant.

## 676 C.3 Conformance Program Participation

- The Applicant acknowledges and agrees that the DMTF determines and oversees Program participation,
- fees, eligibility for participation, technical matters, Test Suites, Submissions, the issuance of Notices of
- 679 Conformance, and all Conformance Testing requirements, interpretations, and all other matters related to
- the Program. The Applicant understands and agrees that participation in the Program is entirely
- voluntary, that the DMTF is a not-for-profit 501(c)(6) organization, and that the DMTF has developed,
- maintains, and administers the Program as a vendor-neutral activity supportive of interoperability in the
- global computer technology industry, consistent with its chartered tax-exempt purposes.
- 684 Certification of Conformance Test Results does not in any way guarantee the interoperability of the
- 685 Tested Product with any other product that is the subject of a Certified Test Result.

## C.4 Pre-authorized Automatic DMTF Web Site Listing

- The Applicant's Certified Conformance Test Result Submissions will be listed on the DMTF public Web
- site at www.dmtf.org automatically if the Applicant initials the appropriate box on the signature page of
- this Application or Submission Form. Posted Certified Submissions can be removed from the DMTF Web
- site within 10 business days of the Program Administrator's receipt of a written request from the
- Applicant. Likewise, the Applicant may request to change a "do not list" election made on this Application
- 692 Agreement by written request to the Program Administrator at any time. Certified Submissions may be
- added to or removed from the Certification Registry upon such written request by the Applicant at any
- 694 time.

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## 695 C.5 Confidentiality

- 696 DMTF shall safeguard Applicant information which is marked and designated by the Applicant as
- 697 CONFIDENTIAL ("Confidential Information"). Such information will be made available solely to the
- Program Administrator and will not be distributed nor made available to the DMTF management or
- 699 membership.

#### C.5.1 Confidentiality Covenants and Conditions

- 701 The Program Administrator is also bound by the confidentiality covenants and conditions of this
- 702 Agreement, as stated below.

#### 703 **C.5.1.1**

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- 704 The Program Administrator shall, except where a provision of this Agreement expressly provides
- 705 otherwise, maintain in confidence all Confidential Information that the Applicant discloses to the Program
- Administrator in relation to this Program. No license, express or implied, under any trademark, copyright,
- or other intellectual property right of the Applicant is granted by the Applicant to the Program
- 708 Administrator by virtue of such disclosure. The Program Administrator shall keep such Confidential
- 709 Information in a file jacket marked CONFIDENTIAL and stored in a locked filing cabinet in a secure
- 710 location when not in use, properly safeguarded and maintained in strictest confidence. The Program
- 711 Administrator shall not use any such Confidential Information except for the Applicant's own purposes
- 712 under this Agreement. The Program Administrator's obligations under this subclause shall be to take such
- 713 steps as it ordinarily takes to preserve the most important of its own confidential information, but not less
- 714 than the above.

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#### 716 **C.5.1.2**

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- 717 The obligations of non-disclosure and non-use set out in this Agreement shall not apply to any item of information that:
- Is in the public domain at any time (but without prejudice to any party's rights of action against another party who wrongfully causes or permits such information to be in the public domain)
  - Was rightfully in the receiving party's possession without obligation of confidence prior to its
    disclosure pursuant to this Agreement, or is subsequently independently developed by the
    receiving party's employees having no access to the information disclosed hereunder
  - Is subsequently rightfully obtained without obligation of confidence by the receiving party from a source other than the Applicant as evidenced by written records
    - Is required to be disclosed by order of any court of competent jurisdiction, provided the Applicant is given prompt notice of any such order in sufficient time to file legal objection thereto

#### 728 **C.5.1.3**

- No right or interest under any license, patent, or otherwise shall be acquired by the recipient of any information by virtue of the application of this clause.
- 731 **C.5.1.4**
- 732 To enable the Applicant to keep the fact of Certification confidential for a period of up to six months from
- 733 the date of written notice by email by the Program Administrator that the Applicant has achieved
- 734 Certification, the Applicant's identity, the fact of Certification, and the information about the Certified
- 735 Conformance Test Result Submission will be kept confidential subject to the disclosure and use
- 736 restrictions set out in this clause, if the Applicant elects and initials the option for delayed disclosure as
- shown on the signature page of this Agreement. During this period, the Applicant may not publicly claim
- 738 that the Product is or has passed the Conformance Requirements of this Program, or make any
- 739 representation of compliance without first informing the Program Administrator that the Applicant elects to
- 740 have the confidential period expire. Application and Submission Information will cease to be held
- 741 confidential after such six-month period.

#### 742 C.6 Liability

- 743 THE APPLICANT ACKNOWLEDGES THAT IT IS REASONABLE FOR THE DMTF AS A NOT-FOR-
- 744 PROFIT ORGANIZATION TO EXCLUDE LIABILITY AS SET OUT BELOW AND FOR THE
- 745 APPLICANT TO TAKE MEASURES, INCLUDING MAINTENANCE OF APPROPRIATE INSURANCE
- 746 COVERAGES, TO MITIGATE OR PREVENT ANY POTENTIAL LOSSES THAT MAY ARISE.
- 747 THE DMTF ON ITS OWN BEHALF AND ON BEHALF OF ITS OFFICERS, EMPLOYEES, AND
- 748 AGENTS HEREBY EXCLUDES ALL LIABILITY, WHETHER IN CONTRACT, TORT, OR OTHERWISE,
- 749 ARISING OUT OF OR RELATING TO THIS AGREEMENT OR THE USE OR NON-USE BY ANY
- 750 PERSON OF ANY INFORMATION PROVIDED BY THE DMTF TO THE MAXIMUM EXTENT
- 751 PERMITTED UNDER APPLICABLE LAW. IN NO EVENT OR CIRCUMSTANCES SHALL THE DMTF
- 752 BE LIABLE FOR ANY INDIRECT OR CONSEQUENTIAL LOSSES (INCLUDING, WITHOUT
- 753 LIMITATION, ANY LOSS OF PROFITS, CONTRACTS, PRODUCTION, OR USE).

#### 754 C.7 Indemnity

- 755 The Applicant shall indemnify and hold harmless the DMTF, together with its officers, agents,
- 756 subcontractors, and members and their servants when engaged in activities on behalf of the DMTF, but
- only to the extent that they are acting in that capacity (together, the "Indemnified"), against any and all
- demands and claims of the Applicant, and of third-party liability including liability for losses, damages,
- 759 settlements, and costs (including lawyers' fees) of any nature whatsoever asserted against or suffered by

the Indemnified, arising from the Conformance Program and not caused by any criminal action, gross negligence, or tort by the Indemnified, provided that the Indemnified meets the following requirements:

- Notifies the Applicant within 10 business days of any such demand and claim, and refrains from any action on account of such demands and claims which may prejudice the Applicant
- Cooperates fully with the Applicant in the defense or settlement
- Grants the Applicant full authority and control to defend and settle any such demands and claims
- The DMTF shall take all reasonable steps to limit such damage or loss.

No provision of this clause shall apply in any circumstances or in respect of any liability or class of liability to the extent that it is not in accordance with applicable law. In the event such a provision is held to be inapplicable or invalid, the parties will amend this Agreement to remove the inapplicable or invalid part of the provision and otherwise so as to retain the provision and contract intent to the extent permissible under applicable law.

## 773 **C.8 General**

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## 774 C.8.1 Entire Agreement

This Agreement, including the documents referenced as attached (as may be amended from time to time), together with all other forms relating to this Agreement submitted and accepted by both Parties, constitutes the entire Agreement and supersedes all prior oral or written agreements, understandings, or arrangements between the Parties relating to such subject matter. Neither Party shall be entitled to rely on any agreement, understanding, arrangement, or representation relating to the subject matter of this Agreement that is not expressly contained in this Agreement, and no change may be made to this Agreement except in writing and signed by duly authorized representatives of both Parties.

#### 782 C.8.2 Waiver of Rights under this Agreement

No failure or delay on the part of either of the Parties to exercise any right or remedy under this
Agreement shall be construed or operate as a waiver thereof nor shall any single or partial exercise of
any right or remedy preclude the further exercise of such right or remedy as the case may be.

#### 786 **C.8.3 Notices**

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Any notice or other document to be given under this Agreement shall be in writing in the English language and, except in circumstances where this Agreement specifically provides for notices by email, shall be deemed to have been duly given if sent by hand, by recorded delivery, by registered post, or by facsimile (subsequently confirmed by post) to a party at the specified address for that party, unless a different address has been given to the other in writing for this purpose. The specified address for the Program Administrator is the address set out below, and the specified address for the Applicant is the address provided for on page 1 of this Application Agreement.

DMTF notices should be sent to:

Distributed Management Task Force, Inc.
Attn. DMTF DASH 1.0-Program Administrator
1001 SW 5th Avenue, #1100
Portland, OR 97204 USA

799 800 **Fax: 503.296.2432** 

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#### 801 C.8.4 Term and Termination

This Agreement comes into effect at the date of acceptance by the Applicant and will expire only if explicitly terminated under one of the following conditions:

- At any time upon six months' written notice by either Party to the other
- If a period of 30 business days has elapsed from one Party notifying the other Party of a breach of this Agreement or of the terms of the *DASH 1.0 Conformance Program Policies and Procedures* document, and such a breach has not been rectified to the satisfaction of the other Party

Notwithstanding the termination of this Agreement for any reason, the obligations of non-disclosure in respect to any confidential information disclosed prior to such termination shall survive for a period of five years following such termination.

#### 812 C.8.5 Governing Law

This Agreement shall be governed by the laws of Oregon, and the parties submit to the non-exclusive jurisdiction of the United States federal courts located in Oregon.

#### 815 **C.8.6 Execution**

- 816 The Applicant:
  - Acknowledges reading and understanding this Agreement
- Agrees to be bound by the terms of the DASH 1.0 Conformance Program Policies and
   Procedures document
- Warrants and represents that the Submissions meet the applicable conformance requirements
  - Warrants that NO MATERIAL CHANGES have been made to this Agreement since it was made available to the Applicant by the Program Administrator
    - Agrees that all these obligations, and those described in the Confidentiality and Indemnity clauses of this Agreement, shall survive the termination of this Agreement
    - Has included with this fully executed Agreement all applicable fees due for this Program as outlined in the "DASH 1.0 Conformance Program Fee Schedule" attached hereto as ANNEX D
- Has fully executed the "Applicant Submission Information", for the Submissions attached hereto
   as ANNEX E.

# 830 DASH 1.0 Conformance Program Application Agreement

ACKNOWLEDGED and AGREED by	Company	
Company Authorized	Company URL:	
Contacts	Company Address	
Authorized Contact	Authorized Contact Signature	_
Comaci	Authorized Contact Printed Name	
	Date	
	Title	
	Email	
	Phone (Office)	(Mobile)
	1 110110 (O11106)	(Mobile)

## DSP5001

## **DASH 1.0 Conformance Program Policies and Procedures**

Alternate	Alternate Authorized Contact Signature	
Authorized Contact	Alternate Authorized Contact Printed Nan	ne
	Date	
	Title	
	Email	
	Business Address	
	Phone (Office)	(Mobile)
Technical Contact Information	Technical Contact Name	
imormation	Email	
	Business Address	
	Phone (Office)	(Mobile)

Payment:	Our payment of US\$	is attached as payment of our fees for participation in
-	this Program based on the Fee	Schedule in ANNEX D

Payment in US\$ drawn on a US-based bank, payable to the <u>Distributed Management</u> Task Force, Inc., in one of these ways:

#### 1) By check

Send a check payable to the DMTF by post to:

<u>Distributed Management Task Force, Inc.</u> Unit 82 P.O. Box 4800 Portland, OR 97208-4800

#### 2) By bank wire transfer

Payments may be made through bank wire transfer. Contact <u>DMTF Administration</u> to request payment routing instructions. If you have already received a DMTF invoice, you can find the wire transfer information under payment option 2 on your invoice copy.

#### 3) By credit card

DMTF accepts VISA, MasterCard, and American Express. Submit by fax to 503.296.2432 or contact DMTF by phone at 503.220.1655.

Include the cardholder's full name, card number, expiration date, and total amount authorized to charge.

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ANNEX D
DASH 1.0 Conformance
Program Fee Schedule

## **Application Fee**

System Management Forum Member (All members)

Membership Benefit

DMTF Participatory Member or Higher \$ 2,500

All Others \$3,500

## **Conformance Test Result Certification Registration Fees**

System Management Forum Leadership Member Membership Benefit

System Management Forum Participatory Member Membership Benefit

DMTF Participatory Member or Higher \$500 per product

All Others \$ 1,000 per product

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835	ANNEX E
836	DASH 1.0 Conformance Program
837	Product Submission Form
838	DASH 1.0 Conformance Program Submission Agreement
839	Please use this form to:
840	Submit Conformance Test Results for a Tested Product
841 842	<ul> <li>Submit product family names and product names of Qualified Products for which these submitted Conformance Test Results are applicable</li> </ul>
843 844	<ul> <li>Submit product family names and product names of Qualified Products for which previously submitted Conformance Test Results are applicable</li> </ul>
845 846 847 848 849	This form should be completed by the company's Authorized Contact and sent to the DASH 1.0 Program Administrator. If Conformance Test Results are being submitted, the signed Conformance Test Results produced by the DASH 1.0 CTS must be attached. Publication (creation of a DMTF Certification Registry entry) is done only after receipt of any applicable conformance program application and/or submission fees.
850 851	(Initial Here) Do not publish the Tested and/or Qualified Products above on the DMTF Web site Certification Registry and in Program Marketing Material
852 853 854 855	NOTE: If initialed, it will be the responsibility of an Authorized Contact to send notice to the Conformance Program Administrator to publish the Tested and/or Qualified Products in this Submission to the Certification Registry.

## 856 Request to Certify Conformance Test Results for a Tested Product

	Vendor/Company (required)	Name:	
Vendor/Company	Authorized Contact Name:	Name:	
Information	Authorized Contact Email:	Email:	
	Authorized Contact Phone:	Phone:	
	Certification Requested	DASH 1.0	
	Conformance Test Suite / Version (required)	DASH 1.0 CTS Version	
	Date Tested (required)	Date:	
Cubmississ			
Submission Information	Provisional Certification Requested? (check)	<ul> <li>Request to issue Provisional Certification</li> </ul>	
	Reason for Provisional Request Required when claiming Provisional Certification. List any CTS defect sightings or other Program issues.		
	Name (required): *	Name:	
	Model (required): *	Model:	
	Version (required): Describes the specific variant of the Product with the Name and Model given. For example, the specific form factor identification.	Version:	
	Product Description: General description of the Product.	Description:	
Tested Product	Product Family: The name of the product family	Family:	
Information			
Identifies the	Compliance Qualification (required)		
Product Name,	Vendor's description of the product feature required by		
Model, and Version	the vendor in the Tested Product or System in order to		
for which the Test	meet the conformance requirements. For example, if		
Results apply.	a particular product feature must be ordered,		
riocano appiyi	enter that feature identification here. Other		
	examples of Qualifications include specific		
	firmware versions, add-in parts or adapters, etc.		
	Product Type (required)		
	"System" indicates that the results are submitted for a	Obsalsana	
	stand-alone, integrated system product.	Check one:	
	"Component" indicates that the results are submitted	0	
	for a component product. If Product Type is	System Component	
	"Component", the section titled "Tested System		
	Information" is required.		
Tested System	Name:	Name:	
Information	Model:	Model:	
(conditional)	Version:	Version:	
Information about	Vendor:	Vendor:	
product-specific	Description:	Description:	
configurations or options required to obtain the results. If the product for which the result is  Hardware Environment (Cards, Features, Options, etc.; add list items if necessary):  Software Environment		•	
		•	
		•	
being submitted is a (Operating System, Drivers, Firmware, etc.; add list		•	
Component,	items if necessary):	•	
information about	Other Required System Components	•	
the system in which	(add list items if necessary):	•	
the component was		•	
tested.			

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<sup>\*</sup> Indicates a field value that must match the value of the corresponding value in the VendorProdDetails.dat file values that must be set in the Conformance Test Suite prior to running the test. These values appear in the certification.xml output file that is archived with the CTS results.

## 859 Request to Apply Certified Conformance Test Results to Qualified Products

Type of Request (check one)	Apply the "Qualified Products" (below) to the certified conformance test results  in the "Request to Certify Conformance Test Results for a Tested Product" above  identified by the following Certification Identifier				
Certification Identifier	Certification ID#  (If you checked "in the 'Request to Certify Conformance Test Results for a Tested Product", leave this value blank.  Otherwise, enter the identifier that the company received from the Program Administrator for a previously certified test result Submission.)				
<b>Qualified Products</b>	Name	Model	Version	Description	Product Family Name
List all products for which the Certification identified above is applicable.  Name, Model, and Version are required for each entry.					

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