

Document Number: DSP500	5001
Date: 2012-01-1)1-17
Version: 1.0	1.0.2

DASH 1.0 Conformance Program Policies and Procedures

- 7 Document Type:
- 8 **Document Status:**
- 9 Document Language: en-US
- 10

1

11 Copyright Notice

12 Copyright © 2012 Distributed Management Task Force, Inc. (DMTF). All rights reserved.

13 DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems

14 management and interoperability. Members and non-members may reproduce DMTF specifications and

documents, provided that correct attribution is given. As DMTF specifications may be revised from time to

16 time, the particular version and release date should always be noted.

17 Implementation of certain elements of this standard or proposed standard may be subject to third party

18 patent rights, including provisional patent rights (herein "patent rights"). DMTF makes no representations

to users of the standard as to the existence of such rights, and is not responsible to recognize, disclose, or identify any or all such third party patent right, owners or claimants, nor for any incomplete or

inaccurate identification or disclosure of such rights, owners or claimants. DMTF shall have no liability to

any party, in any manner or circumstance, under any legal theory whatsoever, for failure to recognize,

disclose, or identify any such third party patent rights, or for such party's reliance on the standard or

incorporation thereof in its product, protocols or testing procedures. DMTF shall have no liability to any

25 party implementing such standard, whether such implementation is foreseeable or not, nor to any patent

owner or claimant, and shall have no liability or responsibility for costs or losses incurred if a standard is

withdrawn or modified after publication, and shall be indemnified and held harmless by any party
 implementing the standard from any and all claims of infringement by a patent owner for such

29 implementations.

30 For information about patents held by third-parties which have notified the DMTF that, in their opinion,

- 31 such patent may relate to or impact implementations of DMTF standards,
- 32 visit <u>http://www.dmtf.org/about/policies/disclosures.php</u>..

33 Abstract

- 34 This document describes the policies and procedures that participants of the DASH 1.0 Conformance
- 35 Program must follow to obtain Certification. This document also includes the DASH 1.0 Conformance
- 36 Program Application Agreement, which binds the participants of the Conformance Program to the
- 37 provisions of these policies and procedures.

38

39

40

41

CONTENTS

42	Abstract3			
43	1	Overview	6	
44		1.1 Document Purpose and Scope		
45		1.2 Program Administration		
46		1.3 Terms and Definitions	6	
47	2	Policies	8	
48		2.1 Conformance Test Package		
49		2.2 Conformance Requirements		
50		2.3 Eligible Participants		
51		2.4 Scope of Conformance Test Results Certification	9	
52		2.4.1 Specification Versions	9	
53		2.4.2 Product	9	
54		2.4.3 Product Family	9	
55		2.4.4 Product Versions	9	
56	3	Procedures		
57		3.1 Registering for the Program		
58		3.1.1 Company Authorized Contacts		
59		3.2 Obtaining the DASH 1.0 Conformance Test Suite		
60		3.3 Testing		
61		3.4 Submission Procedures		
62		3.4.1 Submitting Conformance Test Results for a Tested Product		
63		3.4.2 Submitting "Qualified Product" Claims		
64		3.5 Submission Evaluation		
65		3.6 Conformance Publication Process		
66		3.6.1 Publishing Conformance Test Result Certifications		
67		3.6.2 Deleting Conformance Test Result Certification Publications		
68		3.7 Public Conformance Claims		
69	4	Issue Reporting Process		
70		4.1 Overview		
71		4.2 Issue Report Resolution Process		
72		4.3 Resolution of Issue Reports		
73		4.3.1 Interpretations		
74		4.3.2 Conformance Test Suite Deficiency		
75 76		4.3.3 Conformance Program Deficiency 4.4 Issue Report Repository		
76	_			
77	5	Appeals Process		
78	6	Confidentiality		
79		6.1 Disclosure of Certification Information		
80		6.2 Optional Confidential Treatment of Certification Information		
81	7	DASH 1.0 Conformance Program Updates		
82	ANNEX A – DASH 1.0 Conformance Requirements			
83	ANN	INEX B – DASH 1.0 Conformance Test Suite Scope		
84	ANN	NEX C – DASH 1.0 Conformance Program Application Agreement		
85		INEX D – DASH 1.0 Conformance Program Fee Schedule		
86		NEX E – DASH 1.0 Conformance Program Product Submission Form		
00				

87

88 Tables

89	Table 1 – Terms and Definitions	6
90	Table 2 – Certification Registry Entry: Conformance Test Result Submission	12
91	Table 3 – Certification Registry Entry: Certification Record	13
92	Table B-1 – DASH 1.0 Mandatory Profiles and Specifications	22
93	Table B-2 – DASH 1.0 Optional Profiles	22
94		

DASH 1.0 Conformance Program Policies and Procedures

96 **1 Overview**

97 **1.1 Document Purpose and Scope**

98 Desktop and mobile Architecture for System Hardware (DASH) is a manageability initiative of the

99 Distributed Management Task Force, Inc. (DMTF). The Initiative is described

100 at <u>http://www.dmtf.org/standards/mgmt/dash</u>. The technical requirements of DASH 1.0 are specified

101 in <u>DSP0232</u>, DASH Implementation Requirements 1.0. The System Management Forum (SMF), a forum

102 within the DMTF, has developed a DASH 1.0 Conformance Program (the Program).

103 The elements of the Program include a synchronized set of Implementation Requirements Specifications,

a Conformance Test Suite, a registry of Certified Test Results of products that have demonstrated

105 conformance to Specifications, and processes and documentation that support these elements.

106 This document describes the policies and procedures of the DASH 1.0 Conformance Program referenced

107 by the DASH 1.0 Conformance Program Application Agreement. By executing the DASH 1.0

108 Conformance Program Application Agreement, participants in the Program agree to abide by the

109 provisions of these policies and procedures.

110 **1.2 Program Administration**

111 The DASH 1.0 Conformance Program is operated by the DMTF. The DMTF contracts with an

112 independent third party to manage the DASH 1.0 Conformance Program as Conformance Program

113 Administrator (Program Administrator). The Program Administrator is the Participant's DMTF contact for

all applications, submissions, questions, correspondence, and general administration for the DASH 1.0

115 Conformance Program. The Program Administrator is responsible for maintaining applicant confidentiality

116 in all phases of the Program as defined by these policies and procedures.

117 1.3 Terms and Definitions

- 118 Table 1 defines terms used in this document. Abbreviations are provided in parentheses.
- 119

Table 1 – Terms and Definitions

Term	Definition
Agreement	This document when accepted and signed by the Applicant, the DMTF, and the DMTF Conformance Program Administrator
Applicant	A Company applying for Conformance Test Result Certification under this Program
Certification	The acknowledgment by the Conformance Program Administrator that a validation has been completed for a Conformance Test Result Submission, and that the Conformance Requirements, including the Application and applicable fees, have been met
Certification Registry	The formal record of each DMTF Conformance Program and Certified Conformance Test Result Submission, maintained in electronic and documentary form by the DMTF and made publicly available at the DMTF Web site (www.dmtf.org)

Term	Definition
Certified Submission	A Submission for which the Program Administrator has validated, evaluated, and certified Conformance Test Results and issued written notice to the Applicant of successful Conformance Testing completion
Conformance	The fulfillment of a product, process, or service of specified requirements
Conformance Program (CP), or Program	The DMTF DASH 1.0 Conformance Program
Conformance Program Deficiency (CPD)	An acknowledged error in the Conformance Program that inhibits the certification process. The Conformance Program includes both the certification process and information about how to begin conformance testing. A Conformance Program Deficiency is one possible outcome of an Issue Report.
Conformance Requirements	A definition of the mandatory and optional behavior a Submission must implement in order to be considered conformant. The Conformance Requirements are included in DASH Implementation Requirements 1.0 (DSP0232).
Conformance Test Package	A Conformance Test Package is a binary image or archive file that contains executable tests and other files for configuring, running, and interpreting those tests. The Conformance Test Package contains the Conformance Test Suite.
Conformance Test Result Submission	A Test Suite-generated file of Product Conformance Test results that the Applicant sends to the DMTF for Certification. It contains the Applicant's precise, detailed description of how and in what environment the Conformance Requirements were tested.
Conformance Test Suite (CTS)	A tool licensed under the DMTF Conformance Program to the Applicant for use in testing a Product and generating a Submission
DMTF	The Distributed Management Task Force, Inc., a not-for-profit corporation formed under I.R.C. Section 501(c)(6), and Oregon law
Eligibility Requirements	The set of requirements that a company must meet in order to register and participate in the Conformance Program. Companies that meet the Eligibility Requirements are referred to as Eligible Participants.
Fee Schedule	The "Schedule of Fees" contained in ANNEX D
Interpretation (INT)	A decision made by the DMTF that elaborates or refines the meaning of a Conformance Requirement. An Interpretation is one possible outcome of an Issue Report.
Issue Report (IR)	A formal written report that requests clarification of or questions the intent or correctness of a Conformance Requirement, the Conformance Test Suite, or the Conformance Program itself
	A written question along with any supporting details and/or documents addressed to the Program Administrator requesting clarification, intent, or correctness of a Specification, a Test Suite, or the Conformance Program Policies and Procedures
Participant	An Applicant that has been approved to participate in the Conformance Program
Authorized Contacts	The person in the Participant company who is authorized by the company to make Program-related decisions for the company. The Program Administrator accepts Program-related information and decisions from these persons only.
Product	Any desktop or mobile system sold by the Applicant that qualifies for Conformance Testing and Conformance Test Result Certification per the Conformance Program Policies and Procedures. Applicant's Products being tested pursuant to this Application Agreement are identified in ANNEX E, "Applicant Submission Information".

Term	Definition
Program Administrator (PA)	The DMTF-appointed entity for administering the conformance program as described in 1.2, "Program Administration"
Specification Authority (SA)	The party responsible for developing, maintaining, and interpreting the Specifications
Submission	A Participant request for Certification of Conformance Test Results for a Tested Product and any other Products the Participant claims are conformant per the same Results
Test Suite Authority (TSA)	The entity responsible for developing and maintaining the Conformance Test Suite and for confirming defects in the Conformance Test Suite reported as issues by Program Participants. For the DASH 1.0 Conformance Program, the TSA is comprised of Leadership level members of the System Management Forum.

120 2 Policies

121 2.1 Conformance Test Package

Each Conformance Test Package distributed by the Conformance Program is labeled with a version
 number. The term "Conformance Test Suite" used in the process descriptions that follow refers to the

124 version of tests and test input files in a particular Conformance Test Package version.

125 **2.2 Conformance Requirements**

The Conformance Requirements are rules established and used by the DMTF to evaluate the results of
execution of the Conformance Test Suite (see 3.5, "Submission Evaluation"). The Conformance
Requirements include statements that relate the results of one or more CTS tests to a result of "pass" or
"fail" for a submission.

130 The following policies govern the Conformance Requirements under the Program:

131 132 133	•	Conformance tests of the Conformance Test Suite verify correctness for all mandatory clause in the DASH 1.0 specifications, for all conditional clauses for which the condition is true, and f all optional clauses that are implemented by the Tested System.	
134 135	•	Non-normative statements and clauses in profile specifications (for example, "Use Cases" clauses) are not tested.	
136 137	•	Only requirements in the DASH 1.0 specifications are tested, and no additional requirements are imposed.	
138	•	The testing is restricted to interactions through the Management Access Point (or MAP).	
139 140		 Functional testing is not performed (for example, values reported by the MAP, such as temperature or RPM, are not tested for correctness on the Tested System). 	
141 142		 Only testable functionality through the MAP is tested. For instance, behavior in case of errors or failure is not tested if errors or failure cannot be provoked through the MAP. 	
143	•	A copy of the Conformance Requirements is included in the Conformance Test Package.	
144 145 146	•	All submissions of results from a versioned Conformance Test Package, as described in 2. are evaluated using the Conformance Requirements that are distributed with that Conforma Test Package.	
147 148 149	•	The SMF may periodically update the Conformance Requirements and create a new Conformance Test Package (with a new version number), as described in clause 7, "DASH 1.0 Conformance Program Updates".	

150 The DASH 1.0 Conformance Test Suite is the sole conformance test authorized by the DMTF and is

modified only if required as a result of the DMTF Conformance Program Appeals process. The latest

152 DASH 1.0 Conformance Requirements are maintained as an Annex in this policies and procedures

153 document (see ANNEX A).

154 **2.3 Eligible Participants**

155 Any company that wants to participate in the DASH 1.0 Conformance Program under the terms stated in

the DASH 1.0 Conformance Program Application Agreement is welcomed to participate. Program Fees

are levied based on the company's membership status in DMTF and its System Management Forum

158 (SMF). Companies not currently members of DMTF and companies that are currently DMTF members but

159 not members of the SMF pay Application and Per-Product fees as described in the Fee Schedule

(ANNEX D). DMTF SMF members have all or part of their fees included in SMF membership dues. For
 fees not included in DMTF SMF membership dues, see the Fee Schedule (ANNEX D).

162 **2.4 Scope of Conformance Test Results Certification**

163 The object of the DASH 1.0 Conformance Test Suite is a Tested System. Conformance Test Results for a 164 Tested System are associated by the Vendor to a Vendor's Product.

165 Certification is granted only for test results of "provider" or "server-side" implementations — that is,

166 implementations that are managed through a Manageability Access Point (MAP). Conformance testing

and certification of test results is not currently performed on "client-side" implementations (for example,

168 management consoles). Certification of test results is not granted on an individual profile basis.

169 2.4.1 Specification Versions

DASH 1.0 Certification is obtained for Conformance Test Results of the DASH 1.0 Conformance Test
 Suite for a Tested System. This Certification of test results applies only to the version of the DASH

172 Implementation Specification tested (DASH 1.0) and does not extend to other versions of the DASH

173 specification.

174 **2.4.2 Product**

175 The Tested System may be a Vendor's desktop, mobile, or other system Product, or may contain the 176 Vendor's Product as a component. Conformance Test Suite results describe the performance of the

177 Tested System; therefore, the information that the Vendor includes in the Submission must contain

178 sufficient information for customers of the Product to understand the configuration of the Tested System.

179 2.4.3 Product Family

180 The DASH 1.0 Conformance Program allows the Vendor to relate Conformance Test Results for a Tested

181 System to one or more Products or Product Families. By including additional Products or Product

182 Families in the Conformance Test Results file, the Vendor claims that the identified Conformance Test

183 Results obtained for the Tested System apply to all Products and the Product Families listed in the

184 Submission. The validity of the application of the Conformance Test Results of the Tested System to the

185 Vendor's Products is the responsibility of the Vendor.

186 **2.4.4 Product Versions**

The DASH 1.0 Conformance Program allows the Vendor to update published Certifications of test results
when there are changes in the related Product or Product Family version. By modifying the version
designation for a Vendor's Product or a Product Family in the Certification Registry, the Vendor claims
that the results obtained for the Tested System apply to the new version of the Product or the Product

190 that the results obtained for the Tested System apply to the new version of the Product or the Product 191 Family listed in the Certification Registry entry. The validity of the application of the Conformance Test

192 Results of the Tested System to the new versions of the Vendor's Products is the responsibility of the

193 Vendor.

194 **3 Procedures**

195 **3.1 Registering for the Program**

196 To participate in the DASH 1.0 Conformance Program, a company registers its interest to participate in 197 the Program by submitting an Application Agreement. Each company submits only one Agreement for the 198 DASH 1.0 Conformance Program. Then, as long as the company remains qualified to participate, the 199 company may submit test results and claims for its products using the Program Policies and Procedures.

To apply (register) to participate in the DASH 1.0 Conformance Program, a signed Application Agreement must be mailed or faxed to the Program Administrator of System Management Forum, using the address or fax number listed in the Application Agreement.

- 203 The Program Administrator performs the following tasks:
- 204 1) Review the request to make sure it includes the required information.
- 205 2) Record the Application in a list of Applications received.
- Send the Conformance Test Package (including information and tools needed to complete the testing process) to the Participant, including:
- 208 The Conformance Program Policies and Procedures
- The Conformance Test Suite
- The Conformance Requirements that the SMF uses for evaluating the results
- Instructions for running the tests and submitting results
 - Instructions for submitting questions and appealing results
- 4) If the Participant completes the Testing Process and the Submission Process as described in this document, following the instructions included in the Conformance Test Package, the
 215 Program Administrator records successful demonstration of compliance.

216 **3.1.1 Company Authorized Contacts**

The Participant is allowed to name up to two Authorized Contacts for the Program. Authorized Contacts are authorized by the participating company to make program-related decisions for the company, such as submit test results, submit Submission Forms, raise program Issues, and give publication authorizations.

220

212

The Program Administrator may reject any company request that is in conflict with a request or submission made previously by another Company Authorized Contact until the Program Administrator is satisfied that all Authorized Contacts are in agreement with the request content.

3.2 Obtaining the DASH 1.0 Conformance Test Suite

The DASH 1.0 Conformance Test Suite may be downloaded from the Conformance Program Web site (<u>www.dmtf.org</u>). The DASH 1.0 CTS is available for limited use by participating and non-participating companies; however, only companies who are registered as Program Participants may publish results. Refer to the "Terms of Use" on the download page.

229 3.3 Testing

The Participant runs the Conformance Test Suite, according to the instructions provided, on a Product,and then follows the Submission Process.

- 232 Instructions for running the tests are included in the Conformance Test Package and may vary from
- version to version. The Participant must return test results without modifying any of the information
- generated by the test. If the Participant finds problems with the test and wants to contest the results, the
- 235 Participant must follow the <u>Appeals Process</u> (see clause 5).

After executing the test, the Participant determines whether to complete the <u>Submission Procedures</u> (see clause 3.4).

238 3.4 Submission Procedures

239 **3.4.1 Submitting Conformance Test Results for a Tested Product**

The Participant collects information created by the test (according to the instructions provided) and sends the information to the Program Administrator using a copy of the Submission Form included in the Application Agreement (see ANNEX E). The Participant's completed Submission Form contains required information about the Product.

The Program Administrator validates the test results file, checks the digital signature applied by the test suite, and evaluates the results file content according to the Program Conformance Requirements. If all Submission Evaluation criteria are met, the Program Administrator assigns a Certification Identifier to the Conformance Test Results, archives the results file, and sends a Test Result Certification Notice that includes the Certification Identifier to the Participant.

At the time of Submission, the Participant must include a Qualification that describes the Product's
management feature that is required for the Tested System to meet the Conformance Requirements.
Also, at the time of Submission, the Participant may claim that the Conformance Test Results apply to
another Product offered by the Participant that also requires the same management feature described in
the Qualification.

254 **3.4.2 Submitting "Qualified Product" Claims**

The Participant may submit additional claims for "Qualified Products" for previously submitted and
certified Conformance Test Results. Any Product that shares the same Qualification and is warranted by
the Participant to meet the Conformance Requirements may be added to an existing Test Result
Certification by submitting a new Submission Form and including the Certification Identifier of the
applicable Conformance Test Results.

260 **3.5 Submission Evaluation**

The Program Administrator reviews the information returned and determines whether the information
 indicates that the Participant has demonstrated that the Tested Product is conformant. The criteria for this
 determination are the Conformance Requirements, which are sent to the Participant as a result of
 Registration.

The Program Administrator sends a notification to the Participant describing the results of the review and stating the SMF determination as to whether the Tested Product demonstrates conformance.

267 If the Program Administrator determines that the Product demonstrates conformance, the Program
 268 Administrator completes the <u>Conformance Publication Process</u> (see clause 3.6), unless the Participant
 269 requested no conformance publication in the Application Agreement.

The Participant reviews the determination. If the Participant believes that the determination is incorrect, the Participant completes the <u>Appeals Process</u> (see clause 5).

272 **3.6 Conformance Publication Process**

273 The Program Administrator performs the steps of the Publication process. The Program Administrator

informs the SMF chairs about Submissions for Products that demonstrate conformance.

275 3.6.1 Publishing Conformance Test Result Certifications

276 In addition to test results data, the Conformance Test Results file contains vendor-supplied product data

- that describe the Tested System, the Product, and other data that relate the results to other vendor information, such as the Product Family and vendor contact information.
- 279 Because all of the product data is vendor-supplied, the vendor is responsible for the accuracy and validity 280 of the data.
- When the Conformance Test Results file is received as part of a Submission, validated, and certified by the Program Administrator, a record is created in the Conformance Program's Certification Registry located on a DMTF server.
- Publication (creation of a DMTF Certification Registry entry) is done only after receipt of any applicable
 Conformance Program Application and/or Submission fees.
- 286 Published Certification Registry entries may be removed from publication at any time (see 3.6.2).

287 3.6.1.1 Certification Registry Contents

- For each submitted Conformance Test Results file, a Submission entry is created. The Submission is identified by an assigned, unique Submission identifier.
- One Certification Record is created for each Product for which a Conformance Test Result file applies. A Certification Identifier is assigned to each Certification Record. For Products that are Tested Products (that is, a submitted Conformance Test Result file was generated from testing of the Product), the entry is noted as a "Tested Product" entry. Any Product *associated* with a Conformance Test Result by the Vendor is noted as a "Qualified Product" and is referred to as a "Qualified Product" entry.
- 295 The Certification Registry contents are described in Table 2 and Table 3.
- 296

Table 2 – Certification Registry Entry: Conformance Test Result Submission

Field (All values are strings)	Comments
Submission Identifier	Assigned by the Program Administrator
Vendor information	None
(Vendor-supplied)	
Vendor Name	None
Tested Product data (Vendor-supplied)	None
Product	None
Product Type:	Describes the Product:
[System Component]	System indicates that the Product is a stand-alone, integrated system.
	Component indicates that the Product provides MAP functionality in the Tested System.
Name	None
Model number	None
Version	None
Other Model info (vendor-supplied)	None
Qualification	Describes the Vendor-defined management feature required that allows the Product to meet the Conformance Requirements

Field (All values are strings)	Comments
Product Family	None
Identification / Name / Designation for the Product Family	None
List of qualified members of the Product Family	None
Name	None
Model number	None
Model Version	None
Tested System Configuration	None
Managed System Type:	Describes the Tested System:
[System Simulation Proxy Other]	System – Stand-alone, integrated system
	Simulation – MAP is managing a simulated system
	Proxy – MAP resides on a physically separate system
	Other – Vendor-supplied string (next field)
Other: <string></string>	None
Hardware environment (Systems, Cards, Features/Options, etc.)	None
HW Component 1:	None
HW Component 2:	None
HW Component N:	None
Software environment (Operating Systems, Drivers, Firmware, Simulators, etc.)	None
SW Component 1:	None
SW Component 2:	None
SW Component N:	None
Other components	None
<strings></strings>	None
CTS Result Data (CTS-generated)	None
CTS summary result (Pass or Fail)	None
Profile summary result for each Profile discovered and tested	None
Timestamp of test	None

297

Table 3 – Certification Registry Entry: Certification Record

Field (All values are strings)	Comments
Certification Identifier	None
Certification Type	Indicates whether this record describes a Product that has
(Tested Product or Applied Results)	submitted results or a Product that was associated by applying results based on the Qualification

Field (All values are strings)	Comments
Submission Identifier	None
Certification Identifier	None
Vendor information	None
(Vendor-supplied)	
Vendor Name	None
Product data (Vendor-supplied)	None
Product	None
Product Type:	Describes the Product:
[System Component]	System indicates that the Product is a stand-alone, integrated system.
	Component indicates that the Product provides MAP functionality in the Tested System.
Name	None
Model number	None
Version	None
Other Model info (vendor-defined)	None
Product Family	None
Identification / Name / Designation for the Product Family	None

298 **3.6.2 Deleting Conformance Test Result Certification Publications**

For the DASH 1.0 Conformance Program, Participants may remove a Product's listing from the DMTF Web pages that publish certified test results by contacting the Program Administrator by email to request the removal. (The Program Administrator's email address is included in the DASH 1.0 Conformance Program Policy and Procedures returned to the Applicant at Registration.) Only the Participant's Authorized Contact is allowed to request removal of published certified results.

304 3.7 Public Conformance Claims

Participants are prohibited from making any Public Conformance Claims until they have been contacted by the Conformance Program Administrator that their submission(s) have been validated, certified, and published on the DMTF Certification Registry. Once Participants have been contacted by the Conformance Program Administrator that their submission(s) has been validated, certified, and appear on the DMTF Certification Registry, they may make Public Conformance Claims related to the applicable conformance program and/or test suite(s). Participants are strongly encouraged to reference the DMTF Certification Registry as part of their Public Conformance Claim.

312 **Conformance Program Naming Conventions**

- 313 DASH 1.0 Conformance Program
- 314 DASH 1.0 Conformance Test Suite

315 Conformance Claim Examples

- 316 "Product X meets the requirements of the DASH 1.0 Conformance Program."
- 317 "Product X is certified under the DASH 1.0 Conformance Program."

318 "Product X conforms to the DASH 1.0 Conformance Test Suite."

319 **4 Issue Reporting Process**

320 **4.1 Overview**

At any point during the Program, a Participant may encounter an Issue that inhibits the test result certification effort. The Participant may file an Issue Report by email to the Program Administrator to obtain resolution of the issue. The Program Administrator is the sole contact with the Participant for issue reporting, though others will be involved in determining the resolution.

- 325 The types of issues that may be reported include:
- Potential errors or ambiguities in the Conformance Requirements or the underlying standards
 referenced by the DASH 1.0 Conformance Requirement specifications
- Potential deficiencies in the Conformance Test Suites used to assess Conformance
 Requirements or other test suites referenced by the Program (if any)
- Possible process errors in the Program, specifically related to the registration process, agreements, and general Program issues

The Issue Report is used specifically for the preceding types of errors that are inhibiting the test result certification effort. For general questions about the test result certification process, running the test suites, or other issues, the Program Administrator will provide assistance on obtaining further information.

335 **4.2 Issue Report Resolution Process**

The Program Administrator is responsible for reviewing and providing a resolution to all Issue Reports.
 The key element of the review process is a deterministic process for a formal resolution to the Issue
 Report.

A preliminary review is performed by the Program Administrator, and an initial response is provided to the

340 Participant within 10 business days of the Issue Report submission. This review allows simple issues to 341 be dealt with expeditiously. A detailed review is undertaken for issues that are more complicated or when

342 the preliminary review does not resolve the issue. Final resolution is provided as soon as possible.

343 In most cases, 20 business days is sufficient to provide a final Issue Report. However, in exceptional

- 344 circumstances, final resolution may take longer. If possible, the Issue Report is addressed sufficiently
- within the 20 business days to allow the test result certification process to proceed pending finalresolution.
- 347 If the Participant is not satisfied with the final resolution, the Participant may invoke the Appeals Process.

348 The Issue Report resolution process allows the Participant to remain anonymous, so pre-certification

349 activity is kept entirely confidential, that is, between the Participant and the Program Administrator. The

350 anonymous review process requires the Program Administrator to filter requests before distribution.

351 Filtering removes as much identifying information as possible from the Submission, including the identifier

and the Participant company information. However, the Conformance Test Results may contain content

353 that uniquely identifies the Participant. If the Participant wants to maintain the confidentiality of that

information, the Participant must supply new Conformance Test Results, removing the confidential

- information while maintaining the same functionality of the original submission-identifying strings or other
- 356 confidential information. If Participant-specific information is included in the clauses reserved for the
- 357 technical descriptions, filtering will not produce an anonymous request.

In order for the Program Administrator to resolve Issue Reports for issues related to the test suites, an
 opinion from the Test Suite Authority is required. Likewise, for Issue Reports related to the Conformance
 Program, the Program Administrator requires an opinion from the Program Sponsor.

361 **4.3 Resolution of Issue Reports**

- 362 The possible outcomes for Issue Report resolution are as follows:
- Accepted as an error or ambiguity in the specifications (requiring an Interpretation or INT)
- Accepted as an error in a test suite (a CTS Deficiency)
- Accepted as an error in the Conformance Program (CP Deficiency)
- 366 Rejected

Interpretations, CTS Deficiencies, and CP Deficiencies never cause previously Certified Test Result
 Submissions to be "uncertified". Interpretations, CTS Deficiencies, and CP Deficiencies can cause the
 definition of Conformance to evolve over time. Subsequent Participant Submissions are always required
 to conform to the current Conformance Requirements.

371 4.3.1 Interpretations

An Interpretation elaborates or refines the meaning of a Specification, thereby clarifying an error or ambiguity in the DMTF Specification. Interpretations apply to a specific version of a Specification and are permanent against that version. They remain in force until the Specification is updated, at which time the elaboration or refined meaning should be incorporated into the updated version of the Specification.

376 Interpretations of Specifications never result in behavior that was previously considered to be conformant

377 being declared non-conformant at a given revision level of the Specification. However, Interpretations

378 may cause a change in a future release of a Specification that will prohibit behavior previously considered

acceptable. Interpretations can only result in behavior that was previously considered to be non-conformant being declared to be conformant.

The DMTF is responsible for deciding the meaning of conformance to normative referenced
 Specifications in the DASH 1.0 Conformance Program.

383 4.3.2 Conformance Test Suite Deficiency

A Conformance Test Suite Deficiency is an acknowledged error in a test suite. A Conformance Test Suite Deficiency applies to a specific version of a test suite and is permanent against that version. The Deficiency remains in force until the test suite is updated, at which time the error should be fixed in the updated test suite. If the Test Suite Authority decides not to fix a Conformance Test Suite Deficiency in the updated test suite, the Test Suite Authority submits a new Issue Report and assigns it as a Conformance Test Suite Deficiency against the new test suite version, flagging the Conformance Test Suite Deficiency as a re-issue.

In all circumstances, the complete test suite or set of test suites must be run during formal testing. The
 existence of any Conformance Test Suite Deficiency with respect to a test suite does not absolve a
 Participant from running the test in question, or any part thereof.

394 4.3.2.1 Provisional Test Result Certification due to CTS Deficiency

395 After the Test Suite Authority has acknowledged a Deficiency, the Participant may request a Waiver for 396 the CTS test item that is failing due to the Deficiency. If the Waiver removes the last test failure preventing 397 certification of the Test Results, the DMTF grants a Provisional Certification for the Test Results pending 398 update of the test suite. The Participant's Submission may be published on the Conformance Program 399 Web site with an indication that the Test Result Certification is Provisional. The Provisional Test Result 400 Certification remains in effect until an updated Test Suite is available that contains the correction. At that 401 time, the Participant has 30 business days in which to re-test with the updated Test Suite and submit new 402 test results for certification. If the Participant does not submit new test results within 30 business days 403 following test suite availability, the Provisional Test Result Certification is rescinded by the DMTF and the 404 Tested Product is removed from the Program Web site.

405 **4.3.3 Conformance Program Deficiency**

406 A Conformance Program Deficiency is an acknowledged error in the Conformance Program. The

407 Conformance Program includes the workflow and information systems provided to test Submissions.

408 Conformance Program Deficiencies apply to the version of the Conformance Program in which they are

409 found. If the issue is blocking the test result certification effort, a patch is made to the Conformance

410 Program to enable certification to proceed. Otherwise, the issue is fixed in a future update of the

411 Conformance Program and the Conformance Program Deficiency remains in force until such an update.

412 4.3.3.1 Provisional Test Result Certification due to CP Deficiency

413 After the Test Suite Authority has acknowledged a Deficiency, the Participant may request a Waiver 414 pending resolution of the Deficiency. The DMTF grants a Provisional Test Result Certification for the Test Results pending resolution of the Deficiency. The Participant's Product may be published on the 415 416 Conformance Program Web site with an indication that the Test Result Certification is Provisional. The 417 Provisional Test Result Certification remains in effect until the Deficiency is resolved. At that time, the Participant has 30 business days in which to resubmit test results for processing using the revised 418 419 Program process. If the Participant has not resubmitted Test Results within 30 business days after 420 resolution, the Provisional Test Result Certification is rescinded by the DMTF and the Submission is removed from the Program Web site. 421

422 **4.4 Issue Report Repository**

The Program Administrator maintains an SMF Member-only Web-accessible repository of all submitted
Issue Reports. This repository is accessible by all DMTF SMF members. The viewable information
contains technical details, such as the nature of the issue and its current status of resolution, but does not
contain clauses reserved for Participant and Submission details, thus maintaining the Participant's
confidentiality.

428 **5** Appeals Process

Participants may appeal decisions made by the DMTF or the Program Administrator. The occasions thatmay give rise to an appeal include, but are not limited to, the following:

- The Participant disagrees with the resolution of an Issue Report.
- The Participant disagrees with the Program Administrator's grounds for denying the award of
 certification to test results.

The two levels of appeal are a Technical Review and a Board Review. Review decisions are made in accordance with DMTF policies. At each level of appeal, the Participant has the right to representation at the review meeting to make the technical case but is not required to have representation. The appeals process is anonymous if the Participant does not want to be represented at the review meetings. In such cases, the Program Administrator removes the details of the Participant and the Submission from all information provided for the Technical and Board reviews.

A Participant wanting to dispute a DMTF or Program Administrator decision may request a Technical
 Review. Technical Reviews require the DMTF Technical Committee to consider the matter and produce a
 response with a recorded vote according to DMTF voting rules. The Technical Committee may
 commission reports from independent experts and may seek input from other committees within DMTF as
 it sees fit.

If the Participant is not satisfied with the outcome of the Technical Review, the Participant may request an appeal to the DMTF Board of Directors within 14 business days of being notified in writing by the Program

447 Administrator of the results of the Technical Review. The DMTF's Board of Directors may ask for

- technical reports from the relevant working groups and may also ask for reports from independent
- 449 experts. The Board Review is completed as soon as possible after the Board is informed by the Program

- 450 Administrator of the Participant's written request for a Board Review. The results of a Board Review are 451 final and cannot be further appealed.
- Appeal requests are made to the Program Administrator. After a formal written appeal has been 452
- submitted, the Program Administrator evaluates the appeal fairly and in a non-discriminatory manner. The 453 Program Administrator may request additional information or tests from the Participant that are deemed 454 necessary and advisable in order to resolve the issue. The Program Administrator communicates the 455 456 decision of the Appeal to the Participant.
- 457 Participants should be aware that while the DMTF makes every effort to act on a timely basis, it may take 458 several weeks before an appeals decision is reached. In most cases, 60 business days is sufficient to
- provide a final appeal decision. However, in exceptional circumstances, final resolution may take longer. 459

6 Confidentiality 460

- 461 All information relating to a Participant and the Submissions to be certified are treated as confidential information by the Program Administrator during the certification process (that is, prior to the award of 462 463 certification). This includes information related to the Registration Form. Conformance Statements, Issue
- 464 Reports, Fees, and so on.
- 465 Test results are always confidential. Information regarding the results of using the test suites shall not be 466 disclosed in any publicly available document or to any third party by the Program Administrator, the Participant, or any party acting on the Participant's behalf. 467
- 468 In addition, the Program Administrator always holds confidential any information regarding unsuccessful applications for certification. 469

Disclosure of Certification Information 6.1 470

- 471 Certification Information consists of the fact that certification was achieved and the description of the
- Certified Submission. Any claims of conformance or information related to the certification process may 472
- 473 be made public only after the Program Administrator has notified the Participant in writing through email 474 that the Submission has passed the Conformance Requirements.
- The Program Administrator makes test result certification information publicly available by including it in 475 the Certification Register available on the DMTF's Web site. 476

477 6.2 **Optional Confidential Treatment of Certification Information**

- 478 On occasion, a Participant applies for and achieves test result certification prior to the launch of the tested
- 479 product in the marketplace. To enable a Participant to keep such information confidential prior to launch,
- 480 the Participant may request that the test result certification information be kept confidential for a maximum 481 period of six months from the date of written notification by the Program Administrator that the Test Result
- 482 Submission has passed the Conformance Requirements.
- 483 During this period, the Participant may not make any representation of conformance with the
- 484 Conformance Program without first informing the Program Administrator that the confidential period has
- expired. If the Participant wants to keep the test result certification information for a Submission 485
- 486 confidential permanently, the Participant may request withdrawal and deletion of such information. Such a
- 487 Submission is then no longer considered a Certified Submission.
- When the six-month confidential period expires, the Participant must notify the Program Administrator that 488 the test result certification information that is posted on the DMTF Web site should be made public; 489
- 490 otherwise, the Submission ceases to be considered a Certified Submission.

491 **7 DASH 1.0 Conformance Program Updates**

492 The Conformance Test Package (described in clause 2) may change over time. Some events that may493 make a change necessary are as follows:

- A change in Conformance Requirements
- Addition, deletion, or modification of tests
- Changes in test software
- Changes in Eligibility Requirements

498

ANNEX A – DASH 1.0 Conformance Requirements

499	A Tested System that meets the following requirements shall be deemed "Conformant" by the System
500	Management Forum and the DMTF:
501	 The Tested System shall implement all CIM profiles defined as Mandatory by DASH
502	Implementation Requirements 1.0 (DSP0232).
503	 The Tested System may implement Conditional and Optional profiles from DASH
504	Implementation Requirements 1.0 (DSP0232).
505	 For each Profile implemented by the Tested System, the Tested System shall meet the
506	requirements of the corresponding Profile test of the Conformance Test Suite as follows:
507	 The Tested System must pass all tests for Mandatory requirements.
508	 The Tested System must pass all tests for any Optional requirements that are implemented
509	by the Tested System.
510	 The Tested System must pass all tests for any Conditional requirements that are
511	implemented by the Tested System and for which the Tested System exhibits the
512	Conditions.
513 514	 For any Profile that allows zero central instances, the Tested System may implement zero instances.
515	 Protocol interactions during the Profile test must be conformant as defined and used by the
516	Profile tests.
517	The DASH 1.0 Conformance Test Suite reports Conformance Test Results as follows:
518	 The DASH 1.0 Conformance Test Suite reports conformance test results at three levels:
519	individual test results, per-Profile summary results, and a CTS summary result.
520	• The per-Profile summary result shall be "Info" when no Registered Profile is found for the Profile.
521 522	 The per-Profile summary result shall be "Pass" when there is no applicable individual test result of "Fail" (that is, when all individual test results for the Profile are "Pass", "Info", or "Warning").
523 524 525 526	• The Tested System shall receive a CTS summary result of "Pass" when there are no per-Profile summary results of "Fail" (that is, when all per-Profile summary results are "Pass", "Info", or "Warning") and there is no summary result of "Fail" for each of the test sections "Discovery", "Transport", "WS-Event", and "WS-Protocol".
527 528	The submitted DASH 1.0 Conformance Test Results for a Tested System that meet the following requirements shall be deemed "Certified" by the System Management Forum and the DMTF:
529	 The certification of Conformance Test Results is performed solely on the basis of the
530	Conformance Test Results folder that results from a test run on a single Tested System and is
531	submitted by an Eligible Participant.
532	 The Conformance Test Results folder must be obtained by execution of the DASH 1.0
533	Conformance Test Suite and it must contain a Conformance Test Results file with a valid digital
534	signature (certificate.xml) and tar file of the results placed there by the Conformance Test Suite
535	 The Conformance Test Results file must not indicate a failure. TestsFailed field of the Test
536	Summary section of Conformance Test Results must have a value of 0
537	 The submission will be considered valid only if the Conformance Test Results (certificate.xml)
538	were generated after the released date/time of the authorized DASH 1.0 Conformance Test
539	Suite.

- The submission will be considered valid only when the Conformance Test Results file 541 containing a valid digital signature (certificate.xml) contains valid description of the qualified
- 542 product.
- 543 If the Conformance Test Results file (certification.xml) does not meet the requirements above, the
- 544 Program Administrator can request a re-submission, which will likely require a re-execution of the 545 Conformance Test Suite by the participant.

546

ANNEX B – DASH 1.0 Conformance Test Suite Scope

547 DASH Implementation Requirements 1.0 (DSP0232 Version 1.0.1) identifies the specific DMTF specifications and their revisions that are included in the scope of the Conformance Test Suite (CTS). Per 548 549 DMTF policy, specification references are always given to the level of "major" and "minor" revisions (for example. "1.0" or "1.2"). When specifications are revised to note Errata, a third place in the revision 550 551 designation is used, as in "1.0.1" or "1.0.3." Errata editions do not define new requirements for 552 conformance. While DSP0232 defines the scope of the CTS, there is no guarantee that the current 553 released CTS will cover all of the stated requirements and future revisions of the CTS may include tests which were not included in earlier releases. 554

Test coverage of infrastructure and protocol specification included in the scope of DSP0232 is designed
 to cover the use of the infrastructure and protocol to access the functionalities defined by DSP0232 and
 Test Suite is not designed as a full evaluation of evaluation of implementation of the CIM infrastructure or
 protocol specifications.

559 The Conformance Requirements for DASH 1.0 are also specified to the level of "major" and "minor"

revision; however, at the time of publication, the DASH 1.0 Conformance Test Suite has been designed and validated according to specific versions of the specifications. For Participant information, Table B-1

and validated according to specific versions of the DASH 1.0 specifications to which the DASH 1.0 CTS has been

563 designed.

564

Table B-1 – DASH 1.0 Mandatory Profiles and Specifications

Name	Number	Version
Base Desktop and Mobile Profile	DSP1058	1.0.0
WS-Management Specification	DSP0226	1.0.0
WS-Management — CIM Binding Specification	DSP0227	1.0.0
WS-CIM Mapping Specification	DSP0230	1.0.0
Role Based Authorization Profile	DSP1039	1.0.0
Simple Identity Management Profile	DSP1034	1.0.1
Profile Registration Profile	DSP1033	1.0.0
Computer System Profile	DSP1052	1.0.0

565

Name	Number	Version
Boot Control Profile	DSP1012	1.0.1
CPU Profile	<u>DSP1022</u>	1.0.0
Fan Profile	<u>DSP1013</u>	1.0.1
Indications Profile	<u>DSP1054</u>	1.0.0
Physical Asset Profile	<u>DSP1011</u>	1.0.2
Power State Management Profile	DSP1027	1.0.1
Power Supply Profile	<u>DSP1015</u>	1.1.0
Sensors Profile	<u>DSP1009</u>	1.0.1
Software Inventory Profile	DSP1023	1.0.1
System Memory Profile	DSP1026	1.0.0

566 **ANNEX C – DASH 1.0 Conformance Program Application Agreement**

567Distributed Management Task Force, Inc. (DMTF)568DASH 1.0 Conformance Program Application Agreement

570	Applicant Name			
571	Address			
572	City, State		ZIP _	
573	Country	Province		Post Code
574	Authorized Contact Signature			
575	Authorized Contact Printed Name			

576 The Applicant named above (an Authorized Contact of the company representing the company 577 participation in the program) applies to the DMTF for use of the DMTF Conformance Program, on the 578 terms set forth, for the Products identified herein.

579 For purposes of this Agreement, the terms used herein shall have the meanings documented in 580 clause 1.3, "Terms and Definitions," of the DASH 1.0 Conformance Program Policies and Procedures.

581 **1. Applicant's Obligations**

569

582 In addition to the Application-related information provided as part of the Application process, the Applicant 583 will make best efforts to answer all reasonable additional questions the Program Administrator may raise.

584 **1.1 Application Process**

- 585 The Applicant must complete and sign both the first and last page of this Application Agreement and 586 include payment to the DMTF of any applicable fees as provided below.
- 587 Program Application Agreements and Submission Forms may be sent to the Program Administrator 588 in any of the following ways:
- 589
 590 By mail to the following address:
 591 Distributed Management Task Force, Inc.
 592 Attn. DMTF DASH 1.0-Program Administrator
 593 1001 SW 5th Avenue, 1100
 594 Portland, OR 97204 USA
- 596 By fax to 503.296.2432
- Scanned into PDF and sent by email to <u>dash 1.0 cpadmin@dmtf.org</u>
- 600 The Participant should retain copies of all Conformance Program forms and correspondence.

601 **1.2 Testing**

595

597

599

602The Applicant must complete formal Product testing using a current and authorized version of the603Test Suites. The Program Administrator will maintain a list of the current versions of each Test Suite604for use in this Program and on the DMTF Web site.

605 **1.3 Conformance**

For Conformance Test Results to be validated, the Applicant must submit the Conformance Test
Suite results for formal review to the Program Administrator for the particular version of the
Conformance Test Suite for which the Applicant is requesting Conformance Test Results
Certification. The Applicant shall comply with the Program Administrator's reasonable requests for
clarification or rework regarding the completeness, correctness, or consistency of the information
provided.

612 **1.4 Warranty of Conformance Test Result Certification**

The Applicant warrants and represents that the Products identified in ANNEX E, Applicant
Submission Information, meet the Conformance Requirements at the time of Conformance Test
Result Certification and at all times thereafter in which the Products are stated, advertised, or
otherwise claimed in any way by the Applicant to be conformant under this Program.

617 If the Applicant fails to maintain and ensure continued Product compliance with the Conformance 618 Requirements for this Program, the Program Administrator may revoke the Conformance Test Result Certification, including all related marketing benefits including but not limited to DMTF Web site 619 620 listings. For avoidance of doubt, any demonstrable shortfall with respect to the Conformance 621 Requirements shall be absolute grounds for the immediate withdrawal of Conformance Test Result Certification, whether or not that shortfall is apparent from the Conformance Test Result Certification 622 623 and testing process itself. The Program Administrator shall provide written notice to the Applicant on 624 evidence of Product non-conformance and grant a reasonable time, not to exceed five business 625 days, for Applicant to respond and remediate such failure. If Product compliance is not achieved 626 during this time, the Program Administrator shall revoke the Conformance Test Result Certification.

627 **1.5 Fees**

Publication (creation of a DMTF Certification Registry entry) is done only after receipt of any
 applicable Conformance Program Application and/or Submission Fees. The Fee Schedule for the
 DASH 1.0 Conformance Program is listed in ANNEX D.

631 **2. Conformance Program Administrator's Obligations**

632 2.1 Submission Management

- The Program Administrator will audit and review all Program-related information provided by the
 Applicant, including the Application and Submission, to determine whether the submitted information
 demonstrates that the Submission meets the applicable Conformance Requirements.
- 636 The Program Administrator will notify the Applicant by email of the result within six business days of 637 receipt of a complete Submission, as follows:
- If the reviewed results indicate that the Conformance Requirements have been met, the
 Program Administrator will notify the Applicant by sending a Notice of Conformance by
 email to the email address of the Technical Contact provided in this Agreement. If
 Applicant has granted permission in the signature section of this Application, the Program
 Administrator will include the Submission into the Certification Registry.
- If the reviewed results indicate that the Conformance Requirements have not been met,
 the Program Administrator will reject the Submission and report the findings for such
 rejection to the Applicant's Technical Contact by email.

646 2.2 Anonymity of Appeals

647The Applicant may appeal a decision made or issued at any point in the Program by notifying the648Program Administrator in writing of its desire to invoke the appeals process as defined in the DASH6491.0 Conformance Program Policies and Procedures. The Program Administrator will facilitate an650anonymous review on behalf of the Applicant.

651 **3. Conformance Program Participation**

The Applicant acknowledges and agrees that the DMTF determines and oversees Program participation, fees, eligibility for participation, technical matters, Test Suites, Submissions, the issuance of Notices of Conformance, and all Conformance Testing requirements, interpretations, and all other matters related to the Program. The Applicant understands and agrees that participation in the Program is entirely voluntary, that the DMTF is a not-for-profit 501(c)(6) organization, and that the DMTF has developed, maintains, and administers the Program as a vendor-neutral activity supportive of interoperability in the global computer technology industry, consistent with its chartered tax-exempt purposes.

659 Certification of Conformance Test Results does not in any way guarantee the interoperability of the 660 Tested Product with any other product that is the subject of a Certified Test Result.

661 4. Pre-authorized Automatic DMTF Web Site Listing

662 The Applicant's Certified Conformance Test Result Submissions will be listed on the DMTF public Web site at www.dmtf.org automatically if the Applicant initials the appropriate box on the signature page of 663 664 this Application or Submission Form. Posted Certified Submissions can be removed from the DMTF Web site within 10 business days of the Program Administrator's receipt of a written request from the 665 Applicant. Likewise, the Applicant may request to change a "do not list" election made on this Application 666 667 Agreement by written request to the Program Administrator at any time. Certified Submissions may be added to or removed from the Certification Registry upon such written request by the Applicant at any 668 669 time.

670 **5. Confidentiality**

DMTF shall safeguard Applicant information which is marked and designated by the Applicant as
 CONFIDENTIAL ("Confidential Information"). Such information will be made available solely to the
 Program Administrator and will not be distributed nor made available to the DMTF management or
 membership.

675 5.1 Confidentiality Covenants and Conditions

- 676 The Program Administrator is also bound by the confidentiality covenants and conditions of this 677 Agreement, as stated below.
- 678 **5.1.1**

679 The Program Administrator shall, except where a provision of this Agreement expressly provides 680 otherwise, maintain in confidence all Confidential Information that the Applicant discloses to the Program Administrator in relation to this Program. No license, express or implied, under any 681 682 trademark, copyright, or other intellectual property right of the Applicant is granted by the Applicant to 683 the Program Administrator by virtue of such disclosure. The Program Administrator shall keep such 684 Confidential Information in a file jacket marked CONFIDENTIAL and stored in a locked filing cabinet 685 in a secure location when not in use, properly safeguarded and maintained in strictest confidence. The Program Administrator shall not use any such Confidential Information except for the Applicant's 686 687 own purposes under this Agreement. The Program Administrator's obligations under this subclause shall be to take such steps as it ordinarily takes to preserve the most important of its own confidential 688 689 information, but not less than the above.

690 **5.1.2**

691 The obligations of non-disclosure and non-use set out in this Agreement shall not apply to any item 692 of information that:

 Is in the public domain at any time (but without prejudice to any party's rights of action against another party who wrongfully causes or permits such information to be in the public domain)

- Was rightfully in the receiving party's possession without obligation of confidence prior to
 its disclosure pursuant to this Agreement, or is subsequently independently developed by
 the receiving party's employees having no access to the information disclosed hereunder
 - Is subsequently rightfully obtained without obligation of confidence by the receiving party from a source other than the Applicant as evidenced by written records
 - Is required to be disclosed by order of any court of competent jurisdiction, provided the Applicant is given prompt notice of any such order in sufficient time to file legal objection thereto
- 704 **5.1.3**

699

700

701

702

703

No right or interest under any license, patent, or otherwise shall be acquired by the recipient of any
 information by virtue of the application of this clause.

707 **5.1.4**

708 To enable the Applicant to keep the fact of Certification confidential for a period of up to six months 709 from the date of written notice by email by the Program Administrator that the Applicant has achieved Certification, the Applicant's identity, the fact of Certification, and the information about the Certified 710 711 Conformance Test Result Submission will be kept confidential subject to the disclosure and use 712 restrictions set out in this clause, if the Applicant elects and initials the option for delayed disclosure 713 as shown on the signature page of this Agreement. During this period, the Applicant may not publicly 714 claim that the Product is or has passed the Conformance Requirements of this Program, or make 715 any representation of compliance without first informing the Program Administrator that the Applicant 716 elects to have the confidential period expire. Application and Submission Information will cease to be 717 held confidential after such six-month period.

718 6. Liability

719 THE APPLICANT ACKNOWLEDGES THAT IT IS REASONABLE FOR THE DMTF AS A NOT-FOR-

720 PROFIT ORGANIZATION TO EXCLUDE LIABILITY AS SET OUT BELOW AND FOR THE

APPLICANT TO TAKE MEASURES, INCLUDING MAINTENANCE OF APPROPRIATE INSURANCE

722 COVERAGES, TO MITIGATE OR PREVENT ANY POTENTIAL LOSSES THAT MAY ARISE.

THE DMTF ON ITS OWN BEHALF AND ON BEHALF OF ITS OFFICERS, EMPLOYEES, AND
AGENTS HEREBY EXCLUDES ALL LIABILITY, WHETHER IN CONTRACT, TORT, OR OTHERWISE,
ARISING OUT OF OR RELATING TO THIS AGREEMENT OR THE USE OR NON-USE BY ANY
PERSON OF ANY INFORMATION PROVIDED BY THE DMTF TO THE MAXIMUM EXTENT
PERMITTED UNDER APPLICABLE LAW. IN NO EVENT OR CIRCUMSTANCES SHALL THE DMTF
BE LIABLE FOR ANY INDIRECT OR CONSEQUENTIAL LOSSES (INCLUDING, WITHOUT
LIMITATION ANY LOSS OF PROFITS. CONTRACTS. PRODUCTION. OR LISE)

729LIMITATION, ANY LOSS OF PROFITS, CONTRACTS, PRODUCTION, OR USE).

730 7. Indemnity

The Applicant shall indemnify and hold harmless the DMTF, together with its officers, agents, subcontractors, and members and their servants when engaged in activities on behalf of the DMTF, but only to the extent that they are acting in that capacity (together, the "Indemnified"), against any and all demands and claims of the Applicant, and of third-party liability including liability for losses, damages, settlements, and costs (including lawyers' fees) of any nature whatsoever asserted against or suffered by the Indemnified, arising from the Conformance Program and not caused by any criminal action, gross negligence, or tort by the Indemnified, provided that the Indemnified meets the following requirements:

- Notifies the Applicant within 10 business days of any such demand and claim, and refrains from any action on account of such demands and claims which may prejudice the Applicant
- Cooperates fully with the Applicant in the defense or settlement

- Grants the Applicant full authority and control to defend and settle any such demands and claims
- The DMTF shall take all reasonable steps to limit such damage or loss.

No provision of this clause shall apply in any circumstances or in respect of any liability or class of liability
to the extent that it is not in accordance with applicable law. In the event such a provision is held to be
inapplicable or invalid, the parties will amend this Agreement to remove the inapplicable or invalid part of

- the provision and otherwise so as to retain the provision and contract intent to the extent permissible under applicable law.
- 149 under applicable la

750 **8. General**

751 8.1 Entire Agreement

752 This Agreement, including the documents referenced as attached (as may be amended from time to 753 time), together with all other forms relating to this Agreement submitted and accepted by both 754 Parties, constitutes the entire Agreement and supersedes all prior oral or written agreements, understandings, or arrangements between the Parties relating to such subject matter. Neither Party 755 shall be entitled to rely on any agreement, understanding, arrangement, or representation relating to 756 757 the subject matter of this Agreement that is not expressly contained in this Agreement, and no 758 change may be made to this Agreement except in writing and signed by duly authorized representatives of both Parties. 759

760 8.2 Waiver of Rights under this Agreement

No failure or delay on the part of either of the Parties to exercise any right or remedy under this
 Agreement shall be construed or operate as a waiver thereof nor shall any single or partial exercise
 of any right or remedy preclude the further exercise of such right or remedy as the case may be.

764 **8.3 Notices**

Any notice or other document to be given under this Agreement shall be in writing in the English language and, except in circumstances where this Agreement specifically provides for notices by email, shall be deemed to have been duly given if sent by hand, by recorded delivery, by registered post, or by facsimile (subsequently confirmed by post) to a party at the specified address for that party, unless a different address has been given to the other in writing for this purpose. The specified address for the Program Administrator is the address set out below, and the specified address for the Applicant is the address provided for on page 1 of this Application Agreement.

772 DMTF notices should be sent to:

773	Distributed Management Task Force, Inc.
774	Attn. DMTF DASH 1.0-Program Administrator
775	1001 SW 5th Avenue, #1100
776	Portland, OR 97204 USA
777	
778	Fax: 503.296.2432
779	9.4 Term and Termination
780 781	This Agreement comes into effect at the date of acceptance by the Applicant and will expire only if explicitly terminated under one of the following conditions:
782	At any time upon six months' written notice by either Party to the other
783 784 785 786	• If a period of 30 business days has elapsed from one Party notifying the other Party of a breach of this Agreement or of the terms of the DASH 1.0 Conformance Program Policies and Procedures document, and such a breach has not been rectified to the satisfaction of the other Party

787 Notwithstanding the termination of this Agreement for any reason, the obligations of non-disclosure
788 in respect to any confidential information disclosed prior to such termination shall survive for a period
789 of five years following such termination.

790 8.5 Governing Law

791 This Agreement shall be governed by the laws of Oregon, and the parties submit to the non-792 exclusive jurisdiction of the United States federal courts located in Oregon.

793 **8.6 Execution**

794 The Applicant:

795	•	Acknowledges reading and understanding this Agreement
796 797	•	Agrees to be bound by the terms of the DASH 1.0 Conformance Program Policies and Procedures document
798 799	•	Warrants and represents that the Submissions meet the applicable conformance requirements
800 801	•	Warrants that NO MATERIAL CHANGES have been made to this Agreement since it was made available to the Applicant by the Program Administrator
802 803	•	Agrees that all these obligations, and those described in the Confidentiality and Indemnity clauses of this Agreement, shall survive the termination of this Agreement
804 805 806	•	Has included with this fully executed Agreement all applicable fees due for this Program as outlined in the "DASH 1.0 Conformance Program Fee Schedule" attached hereto as ANNEX D
807 808	•	Has fully executed the "Applicant Submission Information", for the Submissions attached hereto as ANNEX E.

809

DASH 1.0 Conformance Program Application Agreement

ACKNOWLEDGED	Company	
and AGREED by	Company URL:	
Company Authorized	Company Address	
Contacts		
Authorized	Authorized Contact Signature	
Contact	Authorized Contact Printed Name	
	Date	
	Title	
	Email	
	Business Address	
	Phone (Office)	(Mobile)
Alternate	Alternate Authorized Contact Signature	
Authorized Contact	Alternate Authorized Contact Printed Nam	ne
Contact	Date	
	Title	
	Email	
	Business Address	
	Phone (Office)	(Mobile)
Technical Contact	Technical Contact Name	
Information	Email	
	Business Address	
	Phone (Office)	(Mobile)

 Payment:
 Our payment of US\$ ______ is attached as payment of our fees for participation in this Program based on the Fee Schedule in ANNEX D.

Payment in US\$ drawn on a US-based bank, payable to the <u>Distributed Management</u> <u>Task Force, Inc.</u>, in one of these ways:

1) By check

Send a check payable to the DMTF by post to:

Distributed Management Task Force, Inc. Unit 82 P.O. Box 4800 Portland, OR 97208-4800

2) By bank wire transfer

Payments may be made through bank wire transfer. Contact <u>DMTF Administration</u> to request payment routing instructions. If you have already received a DMTF invoice, you can find the wire transfer information under payment option 2 on your invoice copy.

3) By credit card

DMTF accepts VISA, MasterCard, and American Express. Submit by fax to 503.296.2432 or contact DMTF by phone at 503.220.1655.

Include the cardholder's full name, card number, expiration date, and total amount authorized to charge.

81	0
81	1

ANNEX D – DASH 1.0 Conformance Program Fee Schedule

Application Fee

System Management Forum Member (All members)	Membership Benefit
DMTF Participatory Member or Higher	\$ 2,500
All Others	\$ 3,500

Conformance Test Result Certification Registration Fees

System Management Forum Leadership Member	\$ 200 per product*
System Management Forum Participatory Member	\$ 200 per product**
DMTF Participatory Member or Higher	\$ 500 per product
All Others	\$ 1,000 per product

- * Per-product fee charged to SMF Leadership Members beginning with the eighth product in a membership year.
- 813 ** Per-product fee charged to SMF Participatory Members beginning with the fourth product in a membership year.
- 814

815	ANNEX E – DASH 1.0 Conformance Program
816	Product Submission Form

DASH 1.0 Conformance Program Submission Agreement 817

818 Please use this form to:

819	•	Submit Conformance Test Results for a Tested Product
820 821	•	Submit product family names and product names of Qualified Products for which these submitted Conformance Test Results are applicable

822 Submit product family names and product names of Qualified Products for which previously • 823 submitted Conformance Test Results are applicable

824 This form should be completed by the company's Authorized Contact and sent to the DASH 1.0 Program Administrator. If Conformance Test Results are being submitted, the signed Conformance Test Results 825 produced by the DASH 1.0 CTS must be attached. Publication (creation of a DMTF Certification Registry 826 827 entry) is done only after receipt of any applicable conformance program application and/or submission 828 fees.

829 830	(Initial Here)	Do not publish the Tested and/or Qualified Products above on the DMTF Web site Certification Registry and in Program Marketing Material
831		NOTE: If initialed, it will be the responsibility of an Authorized Contact to send notice to the
832		Conformance Program Administrator to publish the Tested and/or Qualified Products in this
833		Submission to the Certification Registry.
834		U <i>Y</i>

Request to Certify Conformance Test Results for a Tested Product 835

	Vendor/Company (required)	Name:	
Vendor/Company	Authorized Contact Name:	Name:	
Information	Authorized Contact Email:	Email:	
	Authorized Contact Phone:	Phone:	
	Certification Requested	DASH 1.0	
	Conformance Test Suite / Version (required)	DASH 1.0 CTS Version	
	Date Tested (required)	Date:	
Submission	Provisional Certification Requested? (check)	Request to issue Provisional	
Information		Certification	
	Reason for Provisional Request		
	Required when claiming Provisional Certification. List		
	any CTS defect sightings or other Program issues.		
	Name (required): *	Name:	
	Model (required): *	Model:	
	Version (required):	Version:	
	Describes the specific variant of the Product with the		
	Name and Model given. For example, the specific form		
	factor identification.		
	Product Description:	Description:	
	General description of the Product.		
	Product Family:	Family:	
	The name of the product family	Family:	
Tested Product			
Information	Compliance Qualification (required)		
Identifies the	Compliance Qualification (required) Vendor's description of the product feature required by		
Product Name,	the vendor in the Tested Product or System in order to		
Model, and Version	meet the conformance requirements. For example, if		
for which the Test	a particular product feature must be ordered,		
Results apply.			
	enter that feature identification here. Other		
	examples of Qualifications include specific		
	firmware versions, add-in parts or adapters, etc.		
	Product Type (required)		
	"System" indicates that the results are submitted for a		
	stand-alone, integrated system product.	Check one:	
	"Component" indicates that the results are submitted for a component product. If Product Type is	Sustan Common ant	
	"Component", the section titled "Tested System	System Component	
	Information" is required.		
Tested System	Name:	Name:	
Information	Model:	Model:	
(conditional)	Version:	Version:	
Information about	Vendor:	Vendor:	
product-specific	Description:	Description:	
configurations or Hardware Environment			
options required to	(Cards, Features, Options, etc.; add list items if	•	
obtain the results. If necessary):		•	
the product for		-	
which the result is being submitted is a (Operating System, Drivers, Firmware, etc.; add li		•	
being submitted is a		•	
Component,	items if necessary):	•	
information about	Other Required System Components	•	
the system in which	(add list items if necessary):	•	
the component was		•	
tested.		1	

* Indicates a field value that must match the value of the corresponding value in the VendorProdDetails.dat file values

836 837 838 that must be set in the Conformance Test Suite prior to running the test. These values appear in the certification.xml output file that is archived with the CTS results.

839 Request to Apply Certified Conformance Test Results to Qualified Products

	Apply the "Qualified Products" (below) to the certified conformance test results						
Type of Request (check one)	□in the "Request to Certify Conformance Test Results for a Tested Product" above						
	□identified by the following Certification Identifier						
	Certification ID#						
Certification Identifier	(If you checked "in the 'Request to Certify Conformance Test Results for a Tested Product'", leave this value blank.						
	Otherwise, enter the identifier that the company received from the Program Administrator fo a previously certified test result Submission.)						
Qualified Products	Name	Model	Version	Description	Product Family Name		
List all products for which the							
Certification							
identified above is applicable.							
Name, Model, and							
Version are required for each							
entry.							

840

841